Hemoglobin Level Variability: Associations with Mortality

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Background/objectives: Awareness of hemoglobin level variability in dialysis patients is increasing, as is interest in its potential implications. In this retrospective, national study of associations between the degree of hemoglobin level variability in the first 6 mo of 2004 and subsequent mortality rates in the following 6 mo, 159,720 hemodialysis patients receiving epoetin therapy were studied. Design, setting, participants, measurements: Monthly hemoglobin values were categorized as low (L; < 11 g/dl), intermediate (I; 11 to 12.5 g/dl), and high (H; >12.5 g/dl). Variability groups were classified on the basis of the lowest and highest hemoglobin categories seen during the 6-mo observation period: low-low (L-L), 1.4%; intermediate-intermediate (I-I), 6.0%; high-high (H-H), 2.3%; low-intermediate (L-I), 18.3%; intermediate-high (I-H), 31.7%, and low-high (L-H), 40.2%.

Results: On multivariate analysis, adjusted hazards ratios for subsequent mortality events were as follows: I-I, 1.0 (reference category); I-H, 1.02 (95% confidence interval [CI] 0.95 to 1.11); H-H, 1.06 (95% CI 0.93 to 1.21); L-H, 1.19 (95% CI 1.10 to 1.28); L-I, 1.44 (95% CI 1.33 to 1.56), and L-L, 2.18 (95% CI 1.93 to 2.45). Persistently and transiently low hemoglobin levels and highly variable hemoglobin levels were associated with increased risk of death; transiently and persistently high hemoglobin levels were not associated with increased risk of death. Bayesian modeling indicated that ≥3 mo with hemoglobin levels <11 g/dl may be associated with of increased risk of death.

Conclusions: Number of months with hemoglobin values below the target range, rather than hemoglobin variability itself, may be the primary driver of increased risk of death. Further research is needed to distinguish cause from effect and to understand the underlying mechanisms.

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A
ingemia treatment in hemodialysis patients has
changed significantly since the introduction of epo-
etin alfa (EPO) into clinical practice in June, 1989. In
the early 1990s, anemia correction was viewed simply as treat-
ment with EPO along with correction of iron deficiency to
increase hemoglobin levels from below 10 g/dl to within the
initial label target range of 10 to 11 g/dl. As experience with
anemia correction grew and the potential side effects, including
seizures and hypertension, occurred less frequently than antici-
pated from the initial trials, the United States Food and Drug
Administration increased the target hemoglobin range to 10 to
12 g/dl.

Safety and efficacy of anemia correction with erythropoiesis-
stimulating agents (synthetic versions of the hormone erythro-
poietin that stimulate red blood cell production by the bone
marrow), have been the subject of numerous observational
studies and clinical trials since the mid-1990s (1–7). Observa-
tional studies demonstrated increased mortality associated
with values <10 g/dl (2,7) and <11 g/dl (4), which suggests
the target range should be 11 to 12 g/dl. However, the Normal
Hematocrit Study (8) in the mid-1990s showed an adverse effect
of targeting hemoglobin levels to 14 versus 10 g/dl, which
indicated a safety concern with higher levels.

Clinical performance measures intended to increase the per-
centage of patients with hemoglobin levels within the target
range of 11 to 12 g/dl were adopted from the National Kidney
Foundation Kidney Disease Outcomes Quality Initiative clinical
practice guidelines (9). Yet, maintaining hemoglobin levels
within the 1-g/dl target range proved more difficult than antici-
pated. Hemoglobin variability became an important issue in
practice, and recent studies have reported that only a small
percentage of patients remain at any given level over time
(10–12). Fishbane and Berns first introduced the concept of
hemoglobin cycling by describing the pattern of changes over
time in individual patients (10), which provided an important
conceptual framework for understanding the frequent fluctua-
tion of hemoglobin levels over time. An analysis by Ebben
et al. (11) characterized hemoglobin changes over time for a large
sample of US hemodialysis patients and observed considerable
variability, with only 10% of patients maintaining stable hemo-
globin levels from month to month.

To assess the relationship of hemoglobin variability and mort-
ality and to examine the relationship with mortality of excursi-
ons into higher versus lower hemoglobin levels compared
with maintaining hemoglobin levels within target, we assessed
fluctuations and patterns of change over time, looking for the patterns associated with the lowest mortality rates.

Conise Methods

Study Population

The study population included all hemodialysis patients who survived the first 6 mo of 2004, had Medicare as primary payer, and had outpatient EPO claims in each of the first 6 mo of 2004 (n = 159,720). The first 6 mo of 2004 were considered the exposure assessment period. Patients were followed from the first day after the end of the exposure assessment period until the first of date of death, loss to follow-up for reasons including renal transplantation or switching to peritoneal dialysis, or December 31, 2004.

Defining Hemoglobin Variability

Hemoglobin variability was defined by two systems. By the first classification system, monthly hemoglobin values, ascertained from epoetin claims, were categorized as low (L; <11 g/dl), intermediate (I; 11 to 12.5 g/dl), and high (H; >12.5 g/dl). A 6-group classification system was used, based on the lowest and highest categories seen during the 6-mo observation period: low-low (L-L), low-intermediate (L-I), intermediate-intermediate (I-I), high-intermediate (I-H), intermediate-high (I-H), and high-high (H-H).

Although useful in characterizing hemoglobin change over time, this classification system ignores time sequence. For example, a low hemoglobin level at the start of the observation period carries the same weight as a low level at the end. The system also ignores the number of similar categorizations within the observation period. For example, the sequences L-L-L-L-I-H and L-L-I-I-H-H fall in the same category.

Although the mortality hazard ratios (HR) associated with individual monthly hemoglobin patterns were also of interest, meaningful quantification of mortality associations for each possible pattern was limited by sparseness. With six successive observations of a variable and three possible levels, 729 (3^6) patterns are possible. Of the 728 observed patterns, 419 (57.6%) applied to <100 patients and 223 (30.6%) applied to <30 patients. To address this sparseness, a Bayesian Poisson model (13) was used to identify patterns significantly associated with relatively high or low mortality rates. The most extreme of those relative rates (shown in Table 2) suggested that the number and timing of hemoglobin values <11 g/dl were the factors most strongly associated with mortality risk. Thus, the second classification system for defining variability included the total number of months with hemoglobin levels <11 g/dl and an indicator of whether low values were seen in the first 3 mo (first, F), second 3 mo (second, S), or both (F-S). This resulted in a 6-group classification system: low-low (L-L), low-intermediate (L-I), intermediate-intermediate (I-I), high-intermediate (I-H), intermediate-high (I-H), and high-high (H-H).

Other important covariates included an indicator of whether low values were seen in the first 3 mo (first, F), second 3 mo (second, S), or both (F-S). This resulted in a 6-group classification system: low-low (L-L), low-intermediate (L-I), intermediate-intermediate (I-I), high-intermediate (I-H), intermediate-high (I-H), and high-high (H-H). For example, 1-S refers to 1 hemoglobin reading <11 in the second 3-mo period, and 3-F-S refers to 3 hemoglobin readings <11 in both the first and second 3-mo periods.

Covariates

Strong correlations between patient comorbidity and measures of disease severity with hemoglobin variability patterns have been previously reported (11). These same factors were assessed during the 6-mo exposure window for the purpose of adjustment in the multivariate modeling. International Classification of Diseases, Ninth Revision, Clinical Modification codes were used to identify comorbid conditions from Medicare Part A institutional and Part B physician/supplier claims. A comorbid condition was defined as present if a Medicare Part A or Part B claim appeared in the medical record during the exposure assessment period for any of the following: atherosclerotic heart disease, congestive heart failure, dysrhythmia, other cardiac disease (including valvular disease), cerebrovascular accident/transient ischemic attack, peripheral vascular disease, chronic obstructive pulmonary disease, cancer, gastrointestinal bleeding, and hepatic disease. Hospital admission information was obtained from the Medicare Inpatient Standard Analytical File. Demographic data were obtained from the Centers for Medicare & Medicaid Services (CMS) Medical Evidence Report (CMS-2728), which records date of birth, gender, race, renal diagnosis, and first ESRD service date. Mortality information was obtained from the CMS ESRD Death Notification (CMS-2746).

Statistical Analyses

Descriptive statistics were used to examine patient characteristics according to the six hemoglobin variability categories of the first classification system, and mortality rates were calculated for the categories. Cox proportional hazards regression was used to estimate HR and 95% confidence intervals (CI) for the association between the categories and mortality, adjusting for demographic and comorbidity characteristics. The intermediate (11 to 12.5 g/dl) category was the reference group for all analyses. Adjustment was made for age, race, gender, hospital admissions during the entry period, and the 10 comorbidity conditions listed above. Because diabetes as cause of renal failure was found to be nonproportional, this variable was used as a strata variable in the proportional hazards model. Mortality was assessed for the second classification system in the same fashion.

The Bayesian Poisson model included adjustments for age, gender, race, primary cause of ESRD (diabetes, hypertension, other), an indication of hospital admissions during the entry period, and the 10 comorbidity conditions, along with an effect for hemoglobin fluctuation pattern. Pattern effects were assumed to follow a normal distribution, with mean 0 and variance τ. The prior distributions of each adjustment effect and τ were proper but diffuse, whereas the prior distribution of the model intercept was improper. Three parallel chains, each with 1000 burn-in iterations and 1000 draws, formed the posterior distribution of each pattern effect. The reported relative rates of mortality represent posterior means, and corresponding CIs were formed from the 2.5 and 97.5 percentiles of the posterior distributions.

Interval Poisson regression was used to assess potential changes in the effect of hemoglobin patterns on mortality over the follow-up period, as the proportional hazards model calculates estimates that are an average effect over time. For this modeling approach, follow-up time was discretely categorized as 0 to 30 d, 31 to 60 d, 61 to 90 d, 91 to 180 d, 181 to 365 d, and >365 d. For this analysis, patients were followed until the first of date of death, loss to follow-up for reasons including renal transplantation or switching to peritoneal dialysis, or September 1, 2005. In addition to the basic hemoglobin fluctuation patterns, each detailed pattern was assessed for association with mortality.

Results

Demographic characteristics of the 159,720 hemodialysis patients who met the inclusion criteria are provided in Table 1 and are similar to the overall Medicare hemodialysis population. Using the first classification system, the percent of patients in each of the six groups was as follows: low-low (L-L), 1.4%; intermediate-intermediate (I-I), 6.0%; high-high (H-H), 2.3%; low-intermediate (L-I), 18.3%; intermediate-high (I-H), 31.7%; and low-high (L-H), 40.2%. Of the 728 observed hemoglobin variability patterns, those significantly and most positively or most negatively associated with mortality as assessed by Bayesian modeling are presented in Table 2. Most patterns associated with a mortality risk below the null included few if any months.
with hemoglobin levels <11 g/dl; only 5 patterns included ≥1 mo with a value <11 g/dl, and in each case it appeared during the first 3 mo of the 6-mo exposure assessment period. Most patterns associated with the highest mortality risk included at least 3 mo with hemoglobin levels <11 g/dl, and these usually appeared late in the 6-mo period.

Proportional hazards results based on the first classification system (Figure 1) showed no increased risk of death for patients in the I-H (HR 1.02; 95% CI 0.95 to 1.11) and H-H (HR 1.06; 95% CI 0.93 to 1.21) categories, compared with patients consistently within the 11 to 12.5 g/dl range. Patients in the L-H and L-I categories had significantly increased mortality risks: HR 1.19, 95% CI 1.10 to 1.28 and HR 1.44, 95% CI 1.33 to 1.56, respectively. Patients in the consistently low category had the highest risk of death (HR 2.18; 95% CI 1.93 to 2.45).

Figure 2 shows adjusted mortality results using the second classification method. Patients with hemoglobin values <11 g/dl in the first 3 mo of the 6-mo exposure assessment period and >11 g/dl in the second 3 mo generally had a lower mortality risk than patients with at least one hemoglobin value <11 g/dl in the second 3 mo. Also, the more low hemoglobin values in the second 3 mo of the exposure assessment period, the higher the risk of death.

Interval Poisson modeling was performed to assess how HR estimates changed depending on the length of follow-up (Figure 3). Figure 3 displays two time periods, 0 to 30 d and 365 d. All hemoglobin patterns showed some decline in HR between these time periods. However, HR for hemoglobin values <11 g/dl, particularly late in the entry period, remained significantly elevated. All analyses were repeated using 12 g/dl as the cutoff instead of 12.5 g/dl, with similar overall results.

Discussion
Variability in hemoglobin levels over time is very common, with almost 90% of patients experiencing hemoglobin level changes during a 6-mo period, in part as a result of intercurrent illness, infections, bleeding complications, hospitalizations, and epoetin dosing changes (11). Excursions of hemoglobin values over and under the target range of 11 to 12 g/dl or the Medicare audit level of 12.5 g/dl were very common, approaching 25% of patient-months. Ebben et al. (11) and the current study defined stable hemoglobin over 6 mo as levels within the 11 to 12.5 g/dl range each month and showed that only 10% of patients met the definition. The association of hemoglobin variability patterns with concurrent comorbidity and hospitalizations has been shown previously (11); the current analysis reports a significant association between specific hemoglobin variability patterns and increased mortality risk.

Table 1. Patient characteristics (n = 159,720)

| Characteristic | All | Hemoglobin Variability Groupa | | | | P Valueb |
|---------------|-----|-------------------------------|---|---|---|---|---|
|               |     | L-L  | I-I  | H-H | L-I  | I-H  | L-H  |
| Hemoglobin category (<11/11 to 12.5/12.5) | | | | | | | |
| Month 1       |     | 22.6/47.7/29.7 | 100/0/0 | 0/100/0 | 0/0/100 | 38.3/61.7/0 | 0/54.6/45.4 | 35.3/32.5/32.2 | — |
| Month 2       |     | 21.5/48.8/29.8 | 100/0/0 | 0/100/0 | 0/0/100 | 41.0/59.0/0 | 0/51.8/48.2 | 31.3/38.5/30.2 | — |
| Month 3       |     | 20.6/49.1/30.2 | 100/0/0 | 0/100/0 | 0/0/100 | 42.3/57.7/0 | 0/52.8/47.2 | 28.7/39.2/32.1 | — |
| Month 4       |     | 19.9/48.4/31.8 | 100/0/0 | 0/100/0 | 0/0/100 | 42.4/57.6/0 | 0/52.5/47.5 | 26.7/37.3/35.6 | — |
| Month 5       |     | 20.0/49.3/30.9 | 100/0/0 | 0/100/0 | 0/0/100 | 43.8/56.2/0 | 0/55.8/44.2 | 26.5/37.4/36.1 | — |
| Month 6       |     | 19.2/47.4/33.4 | 100/0/0 | 0/100/0 | 0/0/100 | 39.2/60.5/0 | 0/55.5/44.4 | 26.5/31.6/41.9 | — |
| Gender        |     | | | | | | | |
| male          |     | 51.3 | 54.0 | 54.1 | 56.3 | 51.0 | 52.7 | 49.5 | <0.0001 |
| female        |     | 48.7 | 46.0 | 45.9 | 43.7 | 49.0 | 47.3 | 50.6 |          |
| Race          |     | | | | | | | |
| white         |     | 53.4 | 48.5 | 58.5 | 51.3 | 54.7 | 53.4 | 52.4 | <0.0001 |
| black         |     | 40.3 | 46.6 | 35.0 | 41.6 | 39.2 | 40.3 | 41.3 |          |
| other         |     | 6.3  | 4.9  | 6.5  | 7.1  | 6.2  | 6.3  | 6.3  |          |
| Age, years    |     | | | | | | | |
| <40           |     | 8.8  | 16.8 | 7.3  | 9.3  | 9.6  | 7.8  | 9.2  | <0.0001 |
| 40 to 64      |     | 41.2 | 50.1 | 39.0 | 41.0 | 42.8 | 40.6 | 40.9 |          |
| 65 to 74      |     | 26.3 | 19.6 | 27.2 | 26.3 | 25.7 | 26.7 | 26.3 |          |
| ≥75           |     | 23.8 | 13.5 | 26.6 | 23.4 | 22.0 | 24.9 | 23.6 |          |
| Cause of renal failure | | | | | | | | |
| diabetes      |     | 42.8 | 35.1 | 43.4 | 42.4 | 42.7 | 42.9 | 43.0 | <0.0001 |
| hypertension  |     | 29.8 | 27.3 | 28.4 | 30.4 | 28.5 | 30.5 | 30.0 |          |
| other         |     | 27.4 | 37.6 | 28.2 | 27.3 | 28.8 | 26.7 | 27.0 |          |
| Dialysis vintage, yr | | | | | | | | |
| <1            |     | 20.5 | 18.7 | 14.0 | 28.2 | 16.1 | 21.4 | 22.3 | <0.0001 |
| 1 to <3       |     | 31.5 | 27.2 | 35.9 | 27.5 | 32.3 | 31.7 | 30.8 |          |
| 3 to <5       |     | 20.8 | 19.6 | 22.9 | 17.9 | 22.1 | 20.7 | 20.2 |          |
| ≥5            |     | 27.2 | 34.5 | 27.3 | 26.5 | 29.6 | 26.3 | 26.7 |          |

aMonthly hemoglobin values were categorized as low (L, <11 g/dl), intermediate (I, 11 to 12.5 g/dl), and high (H, >12.5 g/dl); variability groups were classified on the basis of the lowest and highest categories seen in the 6-mo observation period. Values are percents.

bχ² test.
The current study suggests that variability in and of itself may not have a strong association with mortality. Rather, the key factors seem to be the number and timing of hemoglobin values during a specific exposure assessment period and the overall direction of hemoglobin values during that period. Specifically, if a patient’s hemoglobin values start low but rise over time, as might be expected among incident hemodialysis patients or patients recently hospitalized, there is little association between this variability and mortality. Falling he-

Table 2. Significant and most extreme results from Bayesian model assessing adjusted associations of hemoglobin fluctuation patterns and mortality

<table>
<thead>
<tr>
<th>Lowest RR 95% CI Pattern Variability Group</th>
<th>Highest RR 95% CI Pattern Variability Group</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.71 0.55 to 0.90 I FIGHH L-H</td>
<td>1.83 1.49 to 2.22 LIIILL L-I</td>
</tr>
<tr>
<td>0.75 0.56 to 0.90 HHHHHH L-H</td>
<td>1.71 1.57 to 1.86 LLLLLL L-L</td>
</tr>
<tr>
<td>0.78 0.61 to 0.97 IFIGHH L-H</td>
<td>1.56 1.26 to 1.89 LLLLLL L-I</td>
</tr>
<tr>
<td>0.78 0.66 to 0.91 IIIIHH L-H</td>
<td>1.48 1.21 to 1.79 LLLLLL L-I</td>
</tr>
<tr>
<td>0.79 0.63 to 0.96 HHHHHH L-H</td>
<td>1.46 1.24 to 1.69 LLLLLL L-I</td>
</tr>
<tr>
<td>0.79 0.65 to 0.95 LIIHHHH L-H</td>
<td>1.44 1.10 to 1.83 LLLLLL L-H</td>
</tr>
<tr>
<td>0.80 0.66 to 0.94 IIHHHHH L-H</td>
<td>1.38 1.07 to 1.76 HHHLLL L-H</td>
</tr>
<tr>
<td>0.81 0.75 to 0.88 IIIIIII L-I</td>
<td>1.38 1.11 to 1.69 LLLLLL L-I</td>
</tr>
<tr>
<td>0.82 0.71 to 0.95 IHHIII L-H</td>
<td>1.34 1.08 to 1.63 LLLLLL L-I</td>
</tr>
<tr>
<td>0.82 0.69 to 0.95 IIIHHHH H-H</td>
<td>1.33 1.15 to 1.53 LLLLLL L-I</td>
</tr>
<tr>
<td>0.82 0.70 to 0.97 IIIHHH II-H</td>
<td>1.31 1.19 to 1.50 LLLLLL L-I</td>
</tr>
<tr>
<td>0.83 0.69 to 0.98 IIIHHL L-I</td>
<td>1.30 1.01 to 1.64 LLLLLL L-I</td>
</tr>
<tr>
<td>0.83 0.69 to 0.99 LLIIIHH L-H</td>
<td>1.28 1.09 to 1.49 LLLLLL L-I</td>
</tr>
<tr>
<td>0.84 0.72 to 0.97 IIIIIII L-I</td>
<td>1.26 1.02 to 1.54 LLLLLL L-I</td>
</tr>
<tr>
<td>0.84 0.72 to 0.96 HHHIII L-H</td>
<td>1.18 1.01 to 1.36 LLLLLL L-I</td>
</tr>
</tbody>
</table>

*Adjusted for age, gender, race, primary cause of ESRD (diabetes, hypertension, other), an indication of hospital admissions during the entry period, and the 10 comorbid conditions.

*bHemoglobin level each of months 1 to 6: L, hemoglobin >11 g/dl; I, hemoglobin 11 to 12.5 g/dl; H, hemoglobin >12.5 g/dl.

*cMonthly hemoglobin values were categorized as low (L), intermediate (I), and high (H); variability groups were classified on the basis of the lowest and highest categories seen in the 6-mo observation period.

Figure 1. Hazard ratios for mortality based on the first classification system. Monthly hemoglobin values were categorized as low (L, <11 g/dl), intermediate (I, 11 to 12.5 g/dl) and high (H, >12.5 g/dl); variability groups were classified on the basis of the lowest and highest categories seen in the 6-mo observation period. Each P value tests the corresponding variability group hazard ratio compared with the reference group (consistently intermediate).

Figure 2. Hazard ratios for mortality based on the second classification system. Number of months with hemoglobin <11 g/dl and first or second half of the 6-mo exposure period. For example, 2-F represents 2 mo with hemoglobin <11 g/dl during the first 3 mo; 4-F-S represents 4 mo with hemoglobin <11 during both the first and the second 3-mo periods. I-I, consistently intermediate (11 to 12.5 g/dl), represents the reference group.
Figure 3. Interval Poisson model examining the change in hazard ratios over follow-up time. Number of months with hemoglobin <11 g/dl and first or second half of the 6-mo exposure period, as explained in Figure 2. I-I, consistently intermediate (11 to 12.5 g/dl), represents the reference group.

Hemoglobin levels, however, are significantly associated with increased mortality risk. This analysis generally supports earlier reports showing an association between hemoglobin levels <11 g/dl and elevated mortality risk (2,7), although these studies investigated average hemoglobin values rather than hemoglobin level change or time with values <11 g/dl.

Additionally, this analysis examined the mortality risk associated with hemoglobin levels consistently above the target range or fluctuating near the upper end of the range, and we did not observe elevated mortality associations for either group. Despite the different patient populations, a comparison of these results with those of the Correction of Hemoglobin and Outcomes in Renal Insufficiency (CHOIR) (14) and Cardiovascular Risk Reduction by Early Anemia Treatment with Epoetin Beta (CREATE) (15) studies is of particular interest. These randomized clinical trials among CKD patients not on dialysis raised concerns about targeting hemoglobin levels >13 g/dl. The CHOIR trial (14) found a statistically significant 34% increased risk of a composite end point including death and nonfatal cardiovascular events; in contrast, the CREATE trial (15) found a near null estimate for a similar composite end point. Compared with randomized clinical trials in which hemoglobin targets were assigned by randomization, patient hemoglobin levels in the current study reflect actual achieved levels. Consequently, significant differences in the demographic and comorbidity profiles of patients with different hemoglobin variability patterns may not have been fully addressed, despite attempts to control for these differences using statistical adjustment. This was an observational study likely to have important confounding-by-indication biases compared with randomized clinical trials such as CHOIR (14) or the Normal Hematocrit Study (8), and the magnitude of risk for the hemoglobin variability groups with higher hemoglobin values may be underestimated.

Importantly, the mortality risks associated with hemoglobin values <11 g/dl were considerably greater than those associated with values >12.5 g/dl, but these findings may still represent unmeasured confounding. On the basis of both safety and efficacy considerations, these data support the clinical target hemoglobin range of 11 to 12.5 g/dl and are not inconsistent with the current United States Food and Drug Administration label recommendations of a hemoglobin range of 10 to 12 g/dl. The upper value of 12.5 g/dl was chosen in the current study for two primary reasons. First, <1% of patient hemoglobin levels were consistently between 11 and 12 g/dl for all 6 mo, making multivariate analyses difficult to perform using such a small percent of the population as the reference group. Second, the value 12.5 g/dl corresponds with the Medicare audit value enacted in 1998, which triggers an audit only if a 90-d rolling average exceeds 12.5 g/dl to allow for transient excursions above 12.5 g/dl. Although this study does not address 12 versus 12.5 g/dl as a recommended upper hemoglobin level, it did include analyses using 12 g/dl as the cutoff instead of 12.5 g/dl; although standard errors changed considerably as a result of the small size of the reference group, the overall pattern of results was similar.

The limitations of this study should be carefully considered. Because EPO claims are the only source of hemoglobin level data available in the Medicare ESRD data, the impact of excluding patients with no claims during 1 or more months of the exposure assessment period cannot be fully assessed because a variability pattern could not be assigned to them. Medicare claims data reflect only a single reported hemoglobin level in a billing period; more granular data from weekly or bimonthly hemoglobin reports may provide greater refinement of the variability patterns and associated mortality. Another limitation is lack of lab data. We assessed the impact of adding urea reduction ratio to the models as a measure of dialysis adequacy and the impact of adding EPO dose during the 6-mo variability period. Adding urea reduction ratio resulted in no change to the findings, whereas adding EPO dose, which can be viewed as a measure of inflammatory status, attenuated the relative risks somewhat toward the null; however, the general patterns were still apparent. Assessment of the relationship of hemoglobin variability and outcomes is clearly very complex, because levels for 90% of patients are in flux at any point in time (11), which makes misclassification a major problem. Although the use of proportional hazards and interval Poisson models can adjust for important confounders, more complex modeling techniques that address time-varying confounding should also be considered. However, the current results may be important to report because safety of anemia treatment is a current and important issue.

This study does not attempt to separate out causes of variation in hemoglobin levels. Some proportion of the variability, related, for example, to EPO and iron dosing, is under the control of the physician and dialysis unit. The remaining variation may be the result of hospitalizations, infections, intercurrent illnesses, and other factors not under the control of the healthcare system. These events would themselves be associated with increased risk of future mortality, and thus such nonactionable variability may be simply a marker for patient illness.
This study concludes that hemoglobin variability is very common with many variability patterns (728 patterns based on a single reported monthly hemoglobin level) over a 6-mo period. The assessment of these patterns by Bayesian modeling identified those patterns significantly associated with mortality. This study was unable to determine risk associated with time >12 versus 12.5 g/dl; however, patients whose hemoglobin levels were consistently within the target range of 11 to 12.5 g/dl experienced the lowest mortality. The longer the amount of time with a hemoglobin level <11 g/dl, the greater the risk of death; additionally, the timing of the low hemoglobin value within the 6-mo period was strongly associated with an increased risk of death. These results, combined with accumulating clinical trial evidence suggesting possible adverse outcomes associated with high hemoglobin levels, suggest that the challenge to providers is to reduce the variation in hemoglobin levels over time on both the high and low ends of the range. Finally, as an observational study, this study cannot prove causality but only demonstrate the associations. However, carrying out a hemoglobin variability clinical trial would be difficult, and this study suggests that patients who experienced the fewest number of months with hemoglobin levels below the 11 to 12.5 g/dl range experienced the lowest mortality.

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Disclosures
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