Revisiting Filtration Fraction as an Index of the Risk of Hemofilter Clotting in Continuous Venovenous Hemofiltration

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Introduction
Hemofilter clotting is a major challenge in continuous venovenous hemofiltration (CVVH). Experts recommend keeping filtration fraction, the fraction of plasma water that is removed by ultrafiltration, below 20% or 30% to avoid clotting (1). Clotting risk is believed to markedly increase when the postfilter hematocrit (Hct) exceeds 0.4–0.5, and a filtration fraction of 30%–50% is presumably associated with an increase in the postfilter Hct to this range (2).

However, a key question is whether a single value of maximal filtration fraction is appropriate in all situations, independent of other parameters such as Hct and concentration of plasma proteins. Additionally, the role of prefilter as compared with postfilter administration of replacement fluids contributes to additional confusion and uncertainty regarding the interpretation of filtration fraction. Given the definition of filtration fraction (FF), when replacement fluids are administered postfilter, it is calculated as below:

\[ FF = \frac{Q_{\text{UF,net}} + Q_{\text{RF,post}}}{Q_B \times (1 - Hct)} \] (1)

Where \( Q_{\text{UF,net}} \) is fluid removal rate, \( Q_{\text{RF,post}} \) is postfilter fluid replacement rate, and \( Q_B \) is blood flow rate.

For the calculation of filtration fraction when replacement fluid is administered prefilter, most experts propose adding the prefilter replacement fluid rate (\( Q_{\text{RF,pre}} \)) to both numerator and denominator, as in Equation 2 (3,4):

\[ FF = \frac{Q_{\text{UF,net}} + Q_{\text{RF,pre}}}{Q_B \times (1 - Hct) + Q_{\text{RF,pre}}} \] (2)

According to Equations 1 and 2, with fixed \( Q_B \) and \( Q_{\text{UF,net}} \) at any fluid replacement rate, the filtration fraction is higher in the postfilter compared with the prefilter replacement setting. Therefore, when comparing pre- and postfilter replacement settings, assuming that a lower filtration fraction is associated with a lower risk of hemofilter clotting would be consistent with these calculations because studies have shown a lower risk of clotting when using the prefilter compared with the postfilter fluid replacement setting (5,6). However, according to Equation 2, in the prefilter replacement setting, by increasing the \( Q_{\text{RF,pre}} \), the calculated filtration fraction will increase, while there is no evidence to suggest that increasing the prefilter replacement rate increases the risk of hemofilter clotting. Therefore, using filtration fraction as an index for risk stratification of hemofilter clotting has serious limitations, particularly in the prefilter replacement setting.

The Origin of Applying a Single Universal Filtration Fraction Cutoff Point to Prevent Hemofilter Clotting

The application of filtration fraction for risk stratification of hemofilter clotting and the assignment of a single cutoff point as the maximum allowable filtration fraction in all clinical scenarios has been endorsed by many authors without providing strong evidence apart from referring to prior publications, which themselves have not provided compelling evidence (1,4). It appears that these anecdotes originate from some publications from the early 1980s, where their observed filtration fraction in continuous arteriovenous hemofiltration (CAVH) was mainly between 20% and 30% (7,8). However, those publications report experience with CAVH, and not CVVH, which is the modality of interest that is currently used. Unlike CVVH, CAVH does not allow for control of the filtration rate because it is a function of the existing arteriovenous hydrostatic pressure gradient, oncotic pressures, and membrane and circuit specifications. Furthermore, these publications simply reported the observed filtration fraction achieved in the CAVH setting, the importance of which was about attainment of convective clearance rather than hemofilter clotting. In fact, they have not reported any correlation between filtration fraction and hemofilter clotting. Therefore, these studies cannot be extrapolated to CVVH and provide no information regarding the relationship between filtration fraction and hemofilter clotting; hence, they should not have been the basis for defining a single filtration fraction value as the maximum allowable filtration fraction in CAVH.

A Better Alternative than Filtration Fraction for Determining the Risk of Hemofilter Clotting

In 1992, Jenkins et al. (9) showed in an experimental study that at a Hct close to 0.45, “operational...
instability” of the hemofiltration system and impending circuit clotting occur.

Because hemoconcentration, not filtration fraction per se, defines the risk of hemofilter clot formation, calculating end-of-hemofilter hemoconcentration is likely to be more useful than the filtration fraction. Using Hct as a surrogate for hemoconcentration, we can calculate the filtration fraction that can lead to a certain end-of-filter Hct, depending on the patient’s Hct.

According to basic mathematical concepts, we know:

\[ Hct_{pre} \times Q_{pre} = Hct_{post} \times Q_{post} \]  \hspace{1cm} (3)

Where \( Hct_{pre} \) is prefilter Hct, \( Q_{pre} \) is prefilter flow (which is \( Q_b \)), \( Hct_{post} \) is postfilter Hct, and \( Q_{post} \) is postfilter flow.

In postfilter replacement, \( Q_{post} \) can be calculated by subtracting the ultrafiltration flow from the prefilter flow which, according to Equation 1, will result in the following equation:

\[ Q_{post} = Q_b - Q_{UF-net} - Q_{R\&F-post} \]
\[ = Q_b - (FF \times Hct_{pre}) \]  \hspace{1cm} (4)

By replacing \( Q_{post} \) in Equation 3, according to Equation 4, and solving it for \( FF \), the following formula ensues:

\[ FF = \frac{Hct_{post} - Hct_{pre}}{Hct_{post} \times (1 - Hct_{pre})} \]  \hspace{1cm} (5)

By plugging in the patient’s Hct for \( Hct_{pre} \) and the maximum end-filter Hct threshold (\( Hct_{max} \)) for \( Hct_{post} \), one can calculate the maximum allowable filtration fraction (\( FF_{max} \)) to avoid reaching a Hct level at which the risk of filter clotting is thought to be unacceptably high (Equation 6).

\[ FF_{max} = \frac{Hct_{max} - Hct_{patient}}{Hct_{max} \times (1 - Hct_{patient})} \]  \hspace{1cm} (6)

Equation 6 reveals the significant effect of the patient’s Hct on \( FF_{max} \). For example, assuming \( Hct_{max} = 0.4 \), the \( FF_{max} \) for a Hct of 0.35 is 19%, whereas for a Hct of 0.25, it is 50%.

For practical purposes, calculating the maximum allowable ultrafiltration rate (\( Q_{UF-total} \) which is \( Q_{UF-net} + Q_{R\&F-post} \)) is useful. Using Equation 3, replacing \( Q_{post} \) with \( Q_b - Q_{UF-total} \) and solving the equation for \( Q_{UF-total} \) result in Equation 7:

\[ Q_{UF-total} = Q_b \times \frac{Hct_{post} - Hct_{pre}}{Hct_{post}} \]  \hspace{1cm} (7)

The maximum allowable ultrafiltration rate can then be calculated using Equation 8:

\[ Q_{UF-total-max} = Q_b \times \frac{Hct_{max} - Hct_{patient}}{Hct_{max}} \]  \hspace{1cm} (8)

Therefore, the higher the Hct and the lower the \( Q_b \), the lower the \( Q_{UF-total} \), which should be set to avoid hemofilter clotting.

Equations 4–8 are applicable only in the postfilter replacement setting. For the prefilter replacement setting, in the absence of any net ultrafiltration (when \( Q_{UF-total} = Q_{UF-pre} \)), the same volume that has been given as the prefilter replacement fluid will be removed from it within the hemofilter, so the end-of-hemofilter Hct returns to the predilution Hct, i.e., the patient’s Hct. Therefore, with a fixed fluid removal rate, any increase or decrease in \( Q_{R\&F-pre} \) increases or decreases the convective clearance, respectively; however, it does not change end-of-hemofilter Hct...
Thus, for the calculation of $FF_{max}$ and $QUF_{max}$ in postfilter replacement setting, the same formulas as those of the prefilter replacement setting (Equations 6 and 8, respectively) may be used with the understanding that, in the prefilter replacement setting, filtration fraction represents the filtered fraction of plasma (ignoring the prefilter fluid replacement rate) and $QUF_{max}$ refers to $QUF_{net-max}$ (maximum fluid removal rate).

### Conclusion

Although end-of-filter Hct, as an index of hemococoncentration, is more relevant than filtration fraction in determination of the risk of hemofilter clotting, it still overlooks other contributing factors such as the circuit shape and design and the coagulability state of the patient.

Nevertheless, reliance on a single arbitrary maximum allowable filtration fraction, as an index for risk stratification of hemofilter clotting, is a more meaningful index. We propose using the above-mentioned formulas to adjust $QUF$ to maintain the calculated end-of-hemofilter Hct below a certain number which, based on currently available data (9,10), appears to be approximately 0.4.

Although conceptually sound, these equations need to be validated in clinical studies.

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