

# Soluble Urokinase Plasminogen Activator Receptor and Outcomes in Patients with Diabetes on Hemodialysis

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## Abstract

**Background and objectives** Soluble urokinase plasminogen activator receptor is a novel biomarker strongly predictive of cardiovascular outcomes implicated in the pathogenesis of kidney disease. Soluble urokinase plasminogen activator receptor levels, however, correlate with declining kidney function. It is unclear whether soluble urokinase plasminogen activator receptor levels remain associated with outcomes in patients with ESRD.

**Design, setting, participants, & measurements** We measured plasma soluble urokinase plasminogen activator receptor levels in 1175 patients (mean age =66±8 years old, 54% men) with type 2 diabetes mellitus on hemodialysis participating in the German Diabetes and Dialysis Study followed for a median of 4 years for outcomes including all-cause death, cardiovascular events, and infection-related mortality. Survival analysis was performed using stepwise Cox proportional hazards models adjusted for potential confounders. Also, adjustments were made for inflammatory markers (C-reactive protein and leukocyte count) and the oxidative stress marker asymmetric dimethyl arginine to investigate potential mediators of the relationship between soluble urokinase plasminogen activator receptor and outcomes.

**Results** Median soluble urokinase plasminogen activator receptor levels were 10,521 pg/ml (interquartile range, 9105–12,543 pg/ml). When stratified by tertiles, patients with soluble urokinase plasminogen activator receptor >11,633 pg/ml (third tertile) had adjusted 1.6-fold higher mortality (hazard ratio, 1.60; 95% confidence interval, 1.27 to 2.03) compared with those with low soluble urokinase plasminogen activator receptor <9599 pg/ml (first tertile). Risks of sudden death and stroke were higher (adjusted hazard ratio, 1.98; 95% confidence interval, 1.27 to 3.09 and adjusted hazard ratio, 1.74; 95% confidence interval, 1.05 to 2.90, respectively), together accounting for higher incidence of cardiovascular events (adjusted hazard ratio, 1.48; 95% confidence interval, 1.15 to 1.89). Associations with outcomes persisted after adjusting for C-reactive protein, leukocyte count, and asymmetric dimethyl arginine. Addition of soluble urokinase plasminogen activator receptor to a risk factor model modestly improved risk discrimination for all-cause death ( $\Delta C$  statistic, 0.02; 95% confidence interval, 0.00 to 0.03) and cardiovascular events ( $\Delta C$  statistic, 0.02; 95% confidence interval, 0.00 to 0.05).

**Conclusions** The association of soluble urokinase plasminogen activator receptor levels with outcomes persists in patients on hemodialysis. Additional study is warranted to characterize the underlying pathways of that association, which may yield opportunities to develop new therapeutic strategies.

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## Introduction

Over 10% of the world's population is estimated to be affected by CKD, with at least 2 million currently receiving dialysis for ESRD (1,2). Outcomes of patients on dialysis remain poor, with the risk of death from cardiovascular disease being 5–30 times higher compared with in the general population (3,4). Moreover, the worldwide prevalence and incidence of both CKD and ESRD continue to rise and are a testament to the lack of novel therapeutic targets and progress in the early identification and prevention of CKD (1,2). Recently, soluble urokinase plasminogen activator receptor (suPAR), a marker of immune activation thought to be involved in the pathogenesis of FSGS (5–7), was shown to be strongly associated with incident

CKD (8–10). suPAR levels have consistently been associated with incident cardiovascular disease and poor outcomes in various groups, including the general population, patients with sepsis, and those with cardiovascular disease, HIV, cancer, and early-stage CKD (8,11–20). However, suPAR plasma levels strongly correlate with eGFR, and some have surmised that elevation in suPAR merely represents impaired kidney function (21–23). suPAR levels are much higher in patients on hemodialysis compared with healthy subjects (24), but whether they remain associated with outcomes in patients with ESRD is, however, unknown.

Given its implication in the pathogenesis of kidney disease and its strong association with both eGFR and

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outcomes, examining suPAR in the setting of ESRD would provide insight as to whether levels measured in patients with poor to absent kidney function would still be associated with outcomes, thus suggesting that suPAR levels at least partially represent kidney-independent processes that lead to mortality. Thus, we sought to (1) report the range of plasma suPAR levels and their determinants in ESRD, (2) investigate whether they are independently associated with relevant outcomes, (3) improve risk discrimination in patients with diabetes on hemodialysis enrolled in the German Diabetes and Dialysis Study (4D Study), and lastly, (4) determine whether suPAR levels identify a subgroup of patients who may benefit from statin therapy (25).

## Materials and Methods

### Study Design and Participants

The methodology of the 4D Study has previously been reported in detail (24). Briefly, the 4D Study was a prospective, randomized, controlled trial of 1255 patients with type 2 diabetes mellitus ages 18–80 years old who started hemodialysis within the last 2 years before enrollment. Between March of 1998 and October of 2002, patients were recruited from 178 dialysis centers in Germany. After a run-in period of 4 weeks, patients were randomly assigned to double-blinded treatment with either 20 mg atorvastatin ( $n=619$ ) or placebo ( $n=636$ ) once daily. Study visits took place three times before randomization (visits 1–3), at randomization (visit 4), at 4 weeks (visit 5), and then, every 6 months (visit 6, *etc.*) after randomization until the date of death, censoring, or the end of the study in March of 2004. At each follow-up, blood samples were taken, and clinical information, including any adverse events, and an electrocardiogram were recorded. For this *post hoc* analysis, we measured suPAR in a subpopulation of 1175 patients with available blood samples. The study conformed to the principles outlined in the Declaration of Helsinki and was approved by the appropriate medical ethics committee. All patients gave their written informed consent before inclusion.

### Data Collection

Information on age, sex, and smoking status was obtained through patient interviews. Smoking status was classified as never, former, or current. Comorbidities, including the presence of coronary artery disease and congestive heart failure, as well as the duration of diabetes mellitus and dialysis treatment were reported by the patients' nephrologists. Coronary artery disease was defined as a history of myocardial infarction, coronary artery bypass grafting surgery, percutaneous coronary intervention, or the presence of coronary heart disease as documented by coronary angiography.

### suPAR, C-Reactive Protein, and Asymmetric Dimethyl Arginine Measurements

Plasma suPAR was measured in blood samples taken at baseline during study visit 3 (1 week before randomization) by ELISA (suPARnostic kit; ViroGates, Copenhagen, Denmark), with a lower detection limit of 100 pg/ml and intra- and interassay variations of 2.75% and 9.17%, respectively. suPAR levels have been shown to be quite stable in long-term

storage as well as at room temperature and are minimally affected by repeated freezing and thawing cycles (15,26). C-reactive protein (CRP) was measured by turbidimetry on a Modular PP analyzer (Roche Diagnostics, Mannheim, Germany). The interassay coefficient of variance for CRP was <5%. Asymmetric dimethyl arginine (ADMA) was measured by HPLC with solid-phase extraction and pre-column derivatization. Within-day coefficients of variation for ADMA were 3.1% (0.62  $\mu\text{mol/L}$ ) and 1.0% (2.0  $\mu\text{mol/L}$ ), and between-day coefficients of variation were 9% (0.62  $\mu\text{mol/L}$ ), and 2.2% (2.0  $\mu\text{mol/L}$ ). All blood samples were taken before the start of dialysis sessions and administration of drugs. Technicians measuring suPAR, CRP, and ADMA were blinded to the clinical and outcomes data.

### Outcome Assessment

The primary end point of the 4D Study was defined as a composite of death from cardiac causes, fatal or nonfatal stroke, and nonfatal myocardial infarction, whichever occurred first (composite cardiovascular end point). Death from cardiac causes comprised sudden cardiac death, fatal myocardial infarction, death due to congestive heart failure, death due to coronary artery disease during or within 28 days after an intervention, and all other deaths attributable to coronary artery disease. Sudden cardiac death was considered as the presence of any of the following: death as verified by terminal rhythm disorders in an electrocardiogram, death as verified by witnesses observed death within 1 hour after the onset of cardiac symptoms, death confirmed by autopsy, or unexpected death, presumably or possibly of cardiac origin and in the absence of a potassium level  $\geq 7.5$  mmol/L before the start of the three most recent sessions of hemodialysis. Death due to heart failure was determined by the end point committee after detailed documents had been received. These included original reports from the general practitioners and hospitals, laboratory results, and all procedures performed as well as an autopsy report.

Myocardial infarction was diagnosed when two of the following three criteria were met: typical symptoms, elevated levels of cardiac enzymes (*i.e.*, creatinine kinase MB above 5% of the total level of creatinine kinase, lactic dehydrogenase 1.5 times the upper limit of normal, or a troponin T level  $> 2$  ng/ml), or diagnostic changes on the electrocardiogram. When death occurred within 28 days after a myocardial infarction as diagnosed above, it was specified as death due to myocardial infarction. The classifications were made exclusively, with fatal myocardial infarction being classified as death and not being classified as sudden cardiac death. Stroke was defined as a neurologic deficit lasting longer than 24 hours. Computed tomographic or magnetic resonance imaging was available in all but 16 patients. All-cause mortality and the specific causes of death were secondary end points.

The 4D Study end points were centrally adjudicated by three members of the end point committee blinded to study treatment per predefined criteria (24).

For this analysis, sudden cardiac death, myocardial infarction (fatal and nonfatal), stroke (fatal and nonfatal), death due to congestive heart failure, combined cardiovascular events, all-cause mortality, and infectious mortality were all chosen as separate outcome measures. The

categorization of these events was on the basis of the primary judgement of the end point committee during the 4D Study.

### Statistical Analyses

Continuous variables are expressed as mean with SD or median with interquartile range (as appropriate), and categorical variables were expressed as percentages. The study population was divided into three groups stratified by suPAR tertiles at enrollment:  $\leq 9599$ ,  $>9599$  to  $\leq 11,633$ , and  $>11,633$  pg/ml. We compared the distribution of baseline characteristics between tertiles of suPAR by chi-squared test (categorical variables) or ANOVA (continuous variables). We then used linear regression with log<sub>2</sub>-transformed suPAR as a dependent variable to identify and report the characteristics independently associated with suPAR levels.

We assessed the association of baseline suPAR with all-cause mortality as both a continuous variable (log<sub>2</sub> transformed; interpreted as per doubling of suPAR) and a categorical variable. For the latter, the lowest suPAR tertile was used as the reference group. Survival analysis was performed using Cox proportional hazards models in a stepwise fashion adjusting for the following confounders. Model 1 included demographics and known traditional risk factors: age, sex, atorvastatin treatment, body mass index, hypertension, HDL and LDL cholesterol, and antiplatelet and angiotensin-converting enzyme inhibitor therapy. In model 2, we added the following potential confounders: diuretics use, heart failure, coronary artery disease, peripheral vascular disease, vascular access, levels of hemoglobin, albumin, and phosphate. Lastly, model 3 additionally incorporated markers of inflammation (leukocyte count, CRP, and the oxidative stress marker ADMA) as potential intermediate parameters. Changes in the hazard ratio (HR) and effect size of suPAR would suggest potential mediation by the aforementioned variables.

Similarly, we investigated suPAR and the risk of specific adverse cardiac and vascular outcomes, including sudden cardiac death, myocardial infarction, stroke, death due to heart failure, combined cardiovascular events, and death due to infection. Additionally, we have examined a competing risks model for each end point considering death by infection as the competing event (27).

We examined the incremental value of adding suPAR to clinical models predicting cardiovascular events (combined outcome) and all-cause death by calculating Harrel C concordance statistics (28).

Lastly, in sensitivity analyses, we determined whether treatment with atorvastatin modulated the association between suPAR and outcomes by examining the interaction term (suPAR  $\times$  atorvastatin treatment) in our outcome analyses. Furthermore, we assessed the efficacy estimates of atorvastatin in subgroups defined by baseline suPAR tertiles.

We tested for proportional hazard by a hypothesis test on the basis of the Schoenfeld residuals and graphical methods (multivariate adjusted log-log plots), and it showed no evidence of violation (29,30). We accounted for multiple testing by taking the global Wald test statistic as the criterion to decide on significance of multilevel categorical

predictor variables (*e.g.*, tertiles of suPAR) as well as the global test of the proportional hazard condition.

All *P* values are reported two sided, with  $P < 0.05$  considered as statistically significant. Analyses were performed using the statistical software package STATA, version 13.0 (StataCorp 2013, Stata Statistical Software: Release 13; StataCorp LP College Station, TX).

## Results

### Patient Characteristics

Of the 1255 patients included in the 4D Study, 1175 (94%) had suPAR measured at baseline. Patients were 54% men, with a mean age of  $66 \pm 8$  years old and median suPAR of 10,521 pg/ml (interquartile range, 9105–12,543 pg/ml) (Table 1). Patients with high suPAR concentrations were more likely to be women, be smokers, and have congestive heart failure as well as a central venous catheter compared with patients with low suPAR concentrations (Table 1). High suPAR concentrations were, furthermore, associated with lower concentrations of albumin and hemoglobin; higher CRP, ADMA, and phosphate concentrations; and a higher leukocyte count. In multivariable regression analysis, suPAR levels were independently associated with the following continuous markers ( $\beta$  indicates change in suPAR in picograms per milliliter per population SD increase of marker): serum phosphate ( $\beta = 231.7$ ;  $P < 0.001$ ), ADMA ( $\beta = 222.6$ ;  $P < 0.001$ ), and albumin ( $\beta = -461.4$ ;  $P < 0.001$ ). Furthermore, for categorical covariates ( $\beta$  indicates contrast compared with reference category), the multivariate model showed significantly increased suPAR concentrations in individuals with peripheral vascular disease ( $\beta = 323.2$ ;  $P = 0.01$ ) and women ( $\beta = 578.1$ ;  $P < 0.001$ ).

### suPAR and Outcomes

The median follow-up period was 4 years. During follow-up, 577 patients died, including 150 patients who died of sudden cardiac death and 39 patients who died due to congestive heart failure. A total of 434 patients reached the primary combined end point, with myocardial infarction (fatal or nonfatal) and stroke (fatal or nonfatal) occurring in 185 and 96 patients, respectively.

**All-Cause Mortality.** High plasma suPAR levels at baseline were associated with a higher mortality risk. When patients were divided into tertiles according to their suPAR levels, the unadjusted risk was incrementally higher: patients in the second tertile had a 51% higher risk (HR, 1.51; 95% confidence interval [95% CI], 1.23 to 1.85) and patients in the highest suPAR tertile exhibited an almost twofold higher mortality (HR, 1.90; 95% CI, 1.53 to 2.36) compared with patients with low suPAR levels in the first tertile (Figure 1A). The association remained significant after adjustment for confounders, which however, reduced the effect size (adjusted HR, 1.40; 95% CI, 1.12 to 1.76 for the second tertile and adjusted HR, 1.60; 95% CI, 1.27 to 2.03 for the third tertile compared with the first tertile) (Table 2). When we analyzed suPAR as a continuous variable, we consistently found a 14% higher mortality risk per doubling in suPAR concentrations (adjusted HR, 1.14; 95% CI, 1.03 to 1.27).

We investigated potential intermediate pathways and additionally adjusted our analyses for CRP, leukocyte

**Table 1. Patient characteristics stratified by tertiles of soluble urokinase plasminogen activator receptor concentration (picograms per milliliter) at baseline (study population n=1175)**

Characteristics	Tertile 1 ≤9599 pg/ml, n=391	Tertile 2 >9599 to ≤11,633 pg/ml, n=392	Tertile 3 >11633 pg/ml, n=392	P Value <sup>a</sup>
Age, yr	66±8	66±8	67±8	0.01
Men, %	67	49	46	<0.001
Atorvastatin treatment, %	48	53	48	0.34
Systolic BP, mmHg	145±21	147±21	145±23	0.28
Diastolic BP, mmHg	76±11	77±10	75±12	0.12
Body mass index, kg/m <sup>2</sup>	27.5±4.1	27.2±4.6	27.8±5.6	0.17
Duration of diabetes, yr	18±9	19±8	18±8	0.52
Time on hemodialysis, mo	8±7	8±7	8±7	0.76
Smoker, %	8	8	10	0.46
<b>Vascular access, %</b>				<0.001
AV fistula	90	84	78	
AV graft	5	10	12	
Central venous catheter	5	6	10	
Coronary artery disease, %	28	33	28	0.19
Congestive heart failure, %	30	37	41	0.01
Peripheral vascular disease, %	41	46	47	0.20
LDL cholesterol, mg/dl	125±28	128±32	125±29	0.27
HDL cholesterol, mg/dl	37±13	37±13	36±14	0.46
Hemoglobin, g/dl	11.1±1.3	10.9±1.3	10.7±1.4	0.003
Albumin, g/dl	3.90±0.3	3.82±0.3	3.74±0.3	<0.001
CRP, μg/ml	5.4 (0.2–109)	5.8 (0.2–117)	7.7 (0.2–237)	<0.001
Phosphate, mg/dl	5.9±1.5	6.0±1.5	6.2±1.8	0.04
Leukocyte count, ×10 <sup>9</sup> /L	7.6±2.2	8.1±2.4	8.6±2.6	<0.001
HbA1c, %	6.7±1.3	6.8±1.2	6.6±1.2	0.22
ADMA, μmol/L	0.8±0.1	0.9±0.2	0.9±0.2	<0.001
Use of diuretics, %	80	79	81	0.60
Use of angiotensin-converting enzyme inhibitors, %	51	49	40	0.01
Use of antiplatelet treatment, %	52	51	54	0.72

AV, arteriovenous; CRP, C-reactive protein; HbA1c, hemoglobin A1c; ADMA, asymmetric dimethyl arginine.

<sup>a</sup>P value of ANOVA *F* statistic (for continuous outcomes) or Pearson chi square statistic (for categorical outcomes).

count, and ADMA as parameters of inflammation and endothelial dysfunction. With these additional adjustments, the effect estimates slightly decreased further (third versus first tertile adjusted HR, 1.51; 95% CI, 1.19 to 1.92), suggesting little contribution of the surmised mechanisms to the association between suPAR levels and mortality.

The results of our competing risk analyses were similar: patients of the second and third suPAR tertiles had 47% and 64% higher risks of death, respectively, compared with patients of the first suPAR tertile.

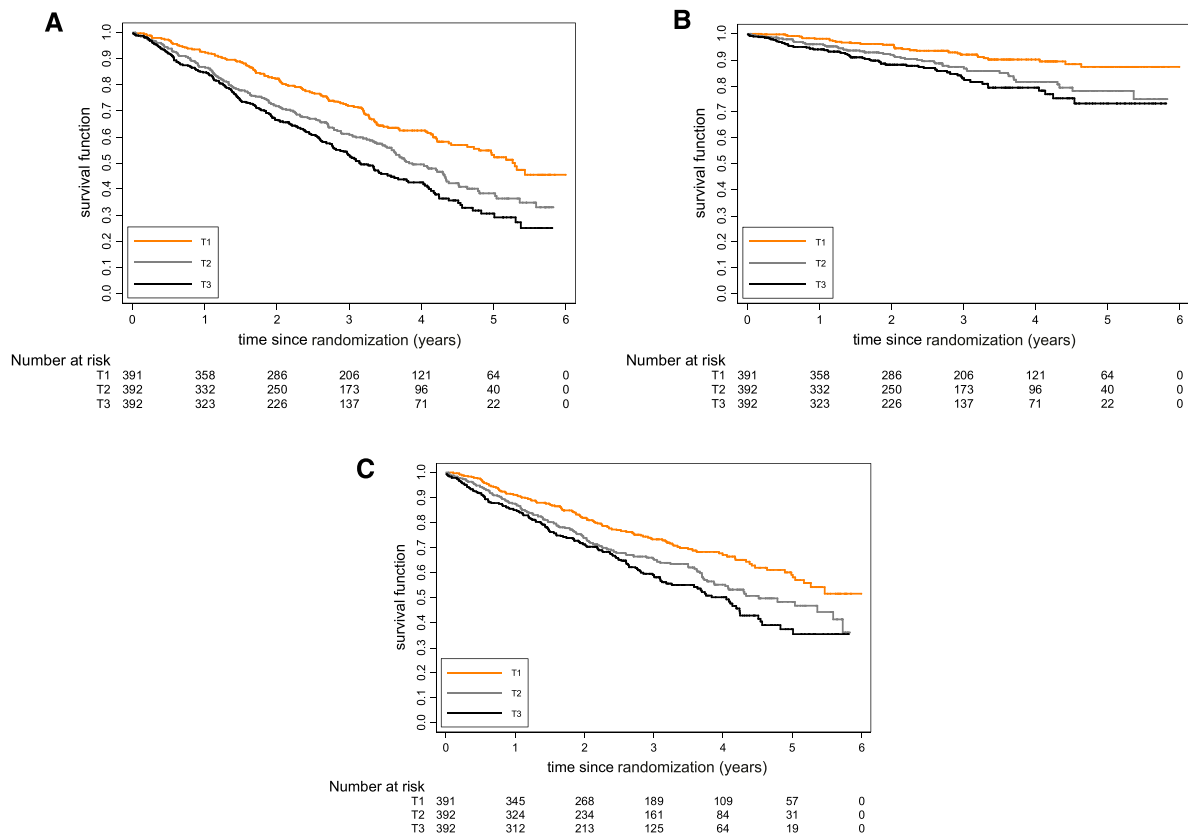
**Cardiovascular Outcomes.** A higher risk of sudden death was also observed at high levels of suPAR (third versus first tertile HR, 2.31; 95% CI, 1.51 to 3.53) (Figure 1B). This association largely persisted after adjustment for confounders, with patients in the third tertile having an almost twofold higher risk compared with those in the first tertile (adjusted HR, 1.98; 95% CI, 1.27 to 3.09). Similarly, the analyses using suPAR as a continuous variable also revealed an increase in the risk of sudden death per doubling in suPAR levels (adjusted HR, 1.18; 95% CI, 1.00 to 1.38). suPAR was also associated with a higher risk of death attributed to congestive heart failure (third versus first tertile adjusted HR, 2.42; 95% CI, 1.02 to 5.71) and a higher risk to experience a stroke (third versus first tertile adjusted HR, 1.74; 95% CI, 1.05 to 2.90) (Table 2).

The incidence of cardiovascular events as a combined outcome was markedly higher at higher concentrations of suPAR (Figure 1C). Patients in the highest suPAR tertile had a 48% higher risk of developing a cardiovascular event after adjustment for confounders. Again, the competing risk analyses showed similar results, with 29% and 45% higher risks for patients of the second and third suPAR tertiles, respectively, compared with patients of the first suPAR tertile.

In contrast to the results seen for sudden death, stroke, and death due to heart failure, suPAR did not show an association with myocardial infarction. In both continuous (HR, 0.77; 95% CI, 0.49 to 1.20) and categorical analyses, the incidence of myocardial infarction did not increase over varying concentrations of suPAR (third versus first tertile adjusted HR, 0.80; 95% CI, 0.34 to 1.90) (Table 2).

**suPAR and Death Due to Infection.** High suPAR concentrations were not associated with a higher risk of death due to infections (HR, 1.11; 95% CI, 0.87 to 1.38 per doubling of suPAR). Accordingly, patients with the highest suPAR concentrations in the third tertile did not have a higher risk of death due to infection compared with patients with low suPAR concentrations in the first tertile (third versus first tertile adjusted HR, 1.03; 95% CI, 0.66 to 1.59).





**Figure 1. | Event-Free survival stratified by suPAR tertiles.** Kaplan–Meier curves for the time to (A) all-cause mortality, (B) sudden cardiac death, and (C) combined cardiovascular events in subgroups of patients stratified by soluble urokinase plasminogen activator receptor concentrations at baseline (tertiles).

**Risk Discrimination.** Lastly, we explored whether addition of suPAR to models incorporating traditional risk factors (model 1), models incorporating expanded clinical characteristics (model 2), and a full model that included markers of immune activation improved the C statistic (Table 3). In summary, addition of suPAR to a traditional risk factor model predicting all-cause death and cardiovascular outcomes improved the C statistic significantly ( $\Delta C$  statistic, 0.02; 95% CI, 0.00 to 0.03 and  $\Delta C$  statistic, 0.02; 95% CI, 0.00 to 0.05, respectively) but did not improve it when added to the expanded models.

**suPAR, Statin Therapy, and Outcomes.** In addition, we sought to determine whether atorvastatin therapy influenced survival in subjects who were stratified according to their baseline suPAR values in sensitivity analyses by including an interaction term in the outcome analyses. The term was nonsignificant ( $P > 0.20$ ), suggesting the absence of a multiplicative interaction (Table 4).

**Discussion**

In this *post hoc* analysis of patients with diabetes on hemodialysis enrolled in the 4D Study, we found that plasma suPAR levels (1) are elevated in ESRD and have a wide range; (2) remain independently associated with sex, cardiovascular risk factors (such as smoking), and known cardiovascular disease (such as congestive heart failure); and most importantly, (3) were strongly associated with

incident adverse outcomes, including all-cause death and cardiovascular outcomes. The association with outcomes was independent of traditional cardiovascular risk factors, coronary artery disease, or congestive heart failure as well as measures of inflammation (CRP) or oxidative stress (ADMA). Moreover, addition of suPAR to a traditional risk factor model modestly improved risk discrimination indices. Lastly, statin therapy did not affect the association between suPAR and outcomes in this population. These findings have two important implications: first, they highlight suPAR as a potentially useful biomarker of risk in patients with ESRD, and second, they provide evidence that elevated suPAR levels are not solely reflective of decreased GFR and still are informative in the setting of kidney dysfunction and ESRD.

The importance of suPAR in kidney disease has taken front stage in recent years, with accumulating evidence of its role in the pathogenesis of FSGS (5–7) and more recently, its strong association with incident kidney function decline (8,9). The mechanisms underlying this association have been on the basis of FSGS studies and are thought to involve the activation of  $\alpha v\beta 3$ -integrins on podocytes, leading to their effacement and glomerular dysfunction (31,32). suPAR levels in plasma, however, strongly correlate with eGFR, and some have hypothesized that chronically elevated suPAR may be the result of decreased clearance due to subclinical impairment in kidney function (21–23). Although a significant component of suPAR levels may be attributed to decreased

**Table 2. Risk (hazard ratio and 95% confidence interval) of sudden cardiac death, myocardial infarction, stroke, death due to heart failure, combined cardiovascular events, all-cause mortality, and death due to infection by tertiles of soluble urokinase plasminogen activator receptor at baseline (study population n=1175)**

Outcome	HRs Stratified by suPAR Tertiles at Baseline		
	Tertile 1 ≤9599 pg/ml, n=391	Tertile 2 >9599 to ≤11,633 pg/ml, n=392	Tertile 3 >11,633 pg/ml, n=392
<b>All-cause mortality</b>			
Crude HR (95% CI)	1	1.51 (1.23 to 1.85)	1.90 (1.53 to 2.36)
Adjusted <sup>a</sup> HR (95% CI)	1	1.54 (1.24 to 1.92)	1.91 (1.51 to 2.40)
Adjusted <sup>b</sup> HR (95% CI)	1	1.40 (1.12 to 1.76)	1.60 (1.27 to 2.03)
Adjusted <sup>c</sup> HR (95% CI)	1	1.37 (1.09 to 1.73)	1.51 (1.19 to 1.92)
<b>Cardiovascular events<sup>d</sup></b>			
Crude HR (95% CI)	1	1.49 (1.17 to 1.90)	1.75 (1.38 to 2.20)
Adjusted <sup>a</sup> HR (95% CI)	1	1.41 (1.09 to 1.81)	1.73 (1.36 to 2.19)
Adjusted <sup>b</sup> HR (95% CI)	1	1.30 (1.01 to 1.68)	1.48 (1.15 to 1.89)
Adjusted <sup>c</sup> HR (95% CI)	1	1.29 (1.00 to 1.67)	1.45 (1.13 to 1.88)
<b>Sudden cardiac death</b>			
Crude HR (95% CI)	1	1.90 (1.21 to 3.00)	2.31 (1.51 to 3.53)
Adjusted <sup>a</sup> HR (95% CI)	1	1.81 (1.13 to 2.91)	2.27 (1.45 to 3.55)
Adjusted <sup>b</sup> HR (95% CI)	1	1.62 (1.01 to 2.61)	1.98 (1.27 to 3.09)
Adjusted <sup>c</sup> HR (95% CI)	1	1.62 (1.01 to 2.61)	1.95 (1.22 to 3.10)
<b>Myocardial infarction</b>			
Crude HR (95% CI)	1	1.76 (0.94 to 3.32)	0.84 (0.36 to 1.96)
Adjusted <sup>a</sup> HR (95% CI)	1	2.03 (1.06 to 3.87)	0.89 (0.39 to 2.04)
Adjusted <sup>b</sup> HR (95% CI)	1	1.91 (0.98 to 3.72)	0.80 (0.34 to 1.90)
Adjusted <sup>c</sup> HR (95% CI)	1	1.84 (0.93 to 3.63)	0.76 (0.31 to 1.88)
<b>Stroke</b>			
Crude HR (95% CI)	1	1.55 (0.90 to 2.65)	2.41 (1.46 to 3.98)
Adjusted <sup>a</sup> HR (95% CI)	1	1.41 (0.81 to 2.44)	2.30 (1.39 to 3.78)
Adjusted <sup>b</sup> HR (95% CI)	1	1.27 (0.74 to 2.17)	1.74 (1.05 to 2.90)
Adjusted <sup>c</sup> HR (95% CI)	1	1.26 (0.73 to 2.18)	1.74 (1.02 to 2.98)
<b>Death due to heart failure</b>			
Crude HR (95% CI)	1	0.98 (0.42 to 2.28)	2.40 (1.11 to 5.20)
Adjusted <sup>a</sup> HR (95% CI)	1	1.12 (0.45 to 2.82)	2.64 (1.15 to 6.03)
Adjusted <sup>b</sup> HR (95% CI)	1	1.09 (0.43 to 2.77)	2.42 (1.02 to 5.71)
Adjusted <sup>c</sup> HR (95% CI)	1	1.05 (0.41 to 2.69)	2.08 (0.88 to 4.91)
<b>Death due to infection</b>			
Crude HR (95% CI)	1	1.21 (0.77 to 1.85)	1.40 (1.02 to 2.18)
Adjusted <sup>a</sup> HR (95% CI)	1	1.24 (0.76 to 2.04)	1.44 (0.93 to 2.22)
Adjusted <sup>b</sup> HR (95% CI)	1	1.09 (0.67 to 1.78)	1.03 (0.66 to 1.59)
Adjusted <sup>c</sup> HR (95% CI)	1	1.07 (0.65 to 1.76)	0.96 (0.62 to 1.50)

HR, hazard ratio; suPAR, soluble urokinase plasminogen activator receptor; 95% CI, 95% confidence interval.

<sup>a</sup>Adjusted HR: adjustments were made for age, sex, body mass index, hypertension, LDL, HDL cholesterol, and antiplatelet and angiotensin-converting enzyme inhibitor therapy.

<sup>b</sup>Adjusted HR: adjustments were made for age, sex, body mass index, hypertension, LDL, HDL cholesterol, antiplatelet and angiotensin-converting enzyme inhibitor therapy, heart failure, coronary artery disease, peripheral vascular disease, diuretics, vascular access, hemoglobin, albumin, and phosphate.

<sup>c</sup>Adjusted HR: adjustments were made for age, sex, body mass index, hypertension, LDL, HDL cholesterol, antiplatelet and angiotensin-converting enzyme inhibitor therapy, heart failure, coronary artery disease, peripheral vascular disease, diuretics, vascular access, hemoglobin, albumin, phosphate, C-reactive protein, leukocyte count, and asymmetric dimethyl arginine.

<sup>d</sup>Combined cardiovascular events were defined as a composite of death from cardiac causes, fatal or nonfatal stroke, and nonfatal myocardial infarction, whichever occurred first. Death from cardiac causes comprised sudden cardiac death, fatal myocardial infarction, death due to congestive heart failure, death due to coronary heart disease during or within 28 days after an intervention, and all other deaths attributable to coronary heart disease.

GFR, here we show that patients with ESRD on dialysis still exhibit a wide range of suPAR levels (≤599 to >11,633 pg/ml), despite the protein not being dialyzed, and its levels remained associated with clinical characteristics and outcomes described in previous studies of subjects without kidney disease and with lower ranges of suPAR (8,11–20,33).

suPAR levels have been associated with poor outcomes in various populations, including the general population, critically ill patients, and those with cardiovascular disease, HIV, cancer, or CKD (8,11–20). suPAR is strongly associated with traditional cardiovascular risk factors as well as inflammatory markers, such as CRP, and it is thought to be

**Table 3. Risk discrimination metrics for all-cause death and cardiovascular events**

Model	All-Cause Death		Cardiovascular Events	
	C Statistic (95% CI)	ΔC Statistic (95% CI)	C Statistic (95% CI)	ΔC Statistic (95% CI)
Model 1: RFs	0.61 (0.58 to 0.63)	—	0.58 (0.55 to 0.61)	—
Model 2: RFs and suPAR	0.63 (0.60 to 0.65) <sup>a</sup>	0.02 (0.00 to 0.03) <sup>a</sup>	0.60 (0.57 to 0.63) <sup>a</sup>	0.03 (0.00 to 0.05) <sup>a</sup>
Model 3: RFs and clinical	0.67 (0.65 to 0.70)	—	0.64 (0.61 to 0.67)	—
Model 4: RFs, clinical, and suPAR	0.68 (0.65 to 0.70)	0.01 (−0.00 to 0.01)	0.65 (0.62 to 0.67)	0.01 (−0.01 to 0.01)
Model 5: RFs, clinical, and inflammation	0.68 (0.66 to 0.70)	—	0.65 (0.62 to 0.67)	—
Model 6: RFs, clinical, inflammation, and suPAR	0.68 (0.66 to 0.71)	0.00 (−0.00 to 0.01)	0.65 (0.62 to 0.67)	0.00 (−0.01 to 0.01)

All models 1–6 include age, sex, body mass index, hypertension, LDL cholesterol, HDL cholesterol, use of angiotensin-converting enzyme inhibitors, and antiplatelet therapy. Models 3–6 have, in addition, congestive heart failure, coronary artery disease, peripheral vascular disease, use of diuretics, vascular access, hemoglobin levels, and albumin levels. Lastly, C-reactive protein, leukocyte count, and asymmetric dimethyl arginine levels are incorporated in models 5 and 6. The change in C statistic reported is relative to the previous model not including suPAR. 95% CI, 95% confidence interval; RF, risk factor; —, baseline model; suPAR, soluble urokinase plasminogen activator receptor.

<sup>a</sup>Values reflect statistically significant change in C statistic.

involved in the pathogenesis of atherosclerosis (33). Consistent with other studies, we found suPAR to be associated with outcomes independent of cardiovascular risk factors and coronary artery disease as well as CRP and ADMA, markers of inflammation and oxidative stress, respectively. The decrease in HR estimates when adjusting for these factors, although not evidence of causation, suggests possible mediation *via* inflammation, oxidative stress, and cardiovascular disease. Similarly, although suPAR has been found to be predictive of incident kidney disease, the fact that elevated levels in ESRD remain associated with outcomes suggests that kidney dysfunction is, at most, only partially contributing to this association (8). Although elevated suPAR levels have been described in sepsis, HIV, and various disease states, we did not find an association between suPAR and death from infectious causes. This is likely because the cohort did not include critically ill patients or those with an active infection. Given the nonspecific association with outcomes, suPAR levels likely represent upstream pathophysiologic processes

common to multiple disease states, which are currently unaccounted for when using conventional measures and biomarkers of risk. Addition of suPAR to a traditional risk factor model led to statistically significant but marginal improvement in risk discrimination indices, which is likely due to the high-risk nature of the cohort. Additional studies are needed to determine whether measuring suPAR would be clinically useful in the setting of ESRD.

Lastly, this study is the first to explore a potential interaction between suPAR levels and the effect of statin therapy on outcomes. We did not find an interaction; however, definite conclusions cannot be derived. First, the study was not powered to detect differences in outcomes on the basis of both therapy and suPAR levels, and second, the 4D Study did not find a significant main effect of statin therapy on outcomes in patients with diabetes on dialysis. These findings cannot be applied to lower-risk patients without known kidney disease. Additional studies are needed to determine whether suPAR levels would be useful to identify other groups of patients who would benefit from statin therapy.

Potential limitations of our study need to be acknowledged. It was a *post hoc* analysis within a selected cohort of German patients with type 2 diabetes mellitus undergoing hemodialysis. Therefore, the relationship between high suPAR and adverse outcomes may not be generalizable to other patient populations. Despite careful adjustments for possible confounders, we cannot rule out residual confounding. However, because known important confounders were considered, the effect of potential residual confounding is likely to be small. Furthermore, we cannot draw conclusions regarding causality from our data. Our data generate new hypotheses that high suPAR levels may reflect a novel pathophysiologic process related to a poor outcome in patients on dialysis. The main strengths of this

**Table 4. Effects of atorvastatin therapy on 4-year risk of death from all causes in patients stratified according to baseline soluble urokinase plasminogen activator receptor levels**

suPAR Tertile	HR	95% CI	P Value
Bottom	0.89	0.67 to 1.18	0.40
Middle	0.87	0.65 to 1.17	0.35
Top	1.07	0.80 to 1.43	0.65

suPAR, soluble urokinase plasminogen activator receptor; HR, hazard ratio; 95% CI, 95% confidence interval.

study are that we could analyze specific outcomes, including sudden cardiac death, stroke, and heart failure death. Additional strengths include the long-term follow-up, adequate sample size, and high incidence of prespecified and centrally adjudicated end points.

In conclusion, suPAR levels are significantly elevated in patients with ESRD and remain associated with adverse outcomes. Thus, although suPAR levels strongly correlate with eGFR, the persistent association with outcomes in ESRD suggests that mechanisms beyond impaired kidney function determine suPAR levels and underlie that association. Additional study is needed to elucidate these mechanisms and determine whether suPAR represents a potential therapeutic target in patients with or at risk for CKD.

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#### Disclosures

C. Wei and J.R. have pending and/or issued patents on novel strategies for kidney therapeutics and stand to gain royalties from their commercialization. J.R. and S.S. are co-founders of TRISAQ, a biotechnology company in which they have financial interest, including stock.

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