

# Diabetes and CKD in the United States Population, 2009–2014

Leila R. Zelnick,\* Noel S. Weiss,<sup>†</sup> Bryan R. Kestenbaum,<sup>\*†</sup> Cassianne Robinson-Cohen,<sup>\*†</sup> Patrick J. Heagerty,<sup>‡</sup> Katherine Tuttle,<sup>\*§||</sup> Yoshio N. Hall,<sup>¶</sup> Irl B. Hirsch,<sup>\*\*</sup> and Ian H. de Boer<sup>\*†</sup>

## Abstract

**Background and objectives** Diabetes is an important cause of CKD. However, among people with diabetes, it is unclear to what extent CKD is attributable to diabetes itself versus comorbid conditions, such as advanced age and hypertension. We examined associations of diabetes with clinical manifestations of CKD independent of age and BP and the extent to which diabetes contributes to the overall prevalence of CKD in the United States.

**Design, setting, participants, & measurements** We performed a cross-sectional study of 15,675 participants in the National Health and Nutrition Examination Surveys from 2009 to 2014. Diabetes was defined by use of glucose-lowering medications or hemoglobin A<sub>1c</sub>  $\geq 6.5\%$ . eGFR was calculated using the CKD Epidemiology Collaboration formula, and albumin-to-creatinine ratio was measured in single-void urine samples. We calculated the prevalence of CKD manifestations by diabetes status as well as prevalence ratios, differences in prevalence, and prevalence attributable to diabetes using binomial and linear regression, incorporating data from repeat eGFR and urine albumin-to-creatinine ratio measurements to estimate persistent disease.

**Results** For participants with diabetes ( $n=2279$ ) versus those without diabetes ( $n=13,396$ ), the estimated prevalence of any CKD (eGFR  $< 60$  ml/min per 1.73 m<sup>2</sup>; albumin-to-creatinine ratio  $\geq 30$  mg/g, or both) was 25% versus 5.3%, respectively; albumin-to-creatinine ratio  $\geq 30$  mg/g was 16% versus 3.0%, respectively; albumin-to-creatinine ratio  $\geq 300$  mg/g was 4.6% versus 0.3%, respectively; eGFR  $< 60$  ml/min per 1.73 m<sup>2</sup> was 12% versus 2.5%, respectively; and eGFR  $< 30$  ml/min per 1.73 m<sup>2</sup> was 2.4% versus 0.4%, respectively (each  $P < 0.001$ ). Adjusting for demographics and several aspects of BP, prevalence differences were 14.6% ( $P < 0.001$ ), 10.8% ( $P < 0.001$ ), 4.5% ( $P < 0.001$ ), 6.5% ( $P < 0.001$ ), and 1.8% ( $P = 0.004$ ), respectively. Approximately 24% (95% confidence interval, 19% to 29%) of CKD among all United States adults was attributable to diabetes after adjusting for demographics.

**Conclusions** Diabetes is strongly associated with both albuminuria and reduced GFR independent of demographics and hypertension, contributing substantially to the burden of CKD in the United States.

*Clin J Am Soc Nephrol* 12: 1984–1990, 2017. doi: <https://doi.org/10.2215/CJN.03700417>

## Introduction

Diabetes mellitus has long been known to be an important cause of CKD (1). Over the last 30 years, the number of people with diabetes and CKD has grown in step with the rising prevalence of diabetes itself (2,3). Approximately 8.4 million adults in the United States now have diabetes and CKD, and diabetes is now the presumed cause of ESRD for approximately one half of patients with incident cases (3,4). Among people with diabetes, CKD markedly increases risks of cardiovascular events and premature mortality (5,6). Therefore, in diabetes care, effective diagnosis and treatment of CKD are important to improve patient outcomes and public health.

However, for patients with diabetes and CKD, the underlying cause of kidney damage is rarely known with confidence. Aging and comorbidities (particularly hypertension) also contribute to risk of CKD, and CKD prevalence varies by sex and race/ethnicity (7,8). In addition, among adults with diabetes and CKD, the clinical manifestations of kidney disease have changed

over time, with a decreasing prevalence of albuminuria and an increasing prevalence of low eGFR (3). As a result, it is not clear to what extent diabetes *per se* contributes to CKD in contemporary clinical care. This uncertainty complicates both clinical evaluation and the development of new strategies for CKD prevention and treatment.

In this study, we aimed to determine the extent to which clinical manifestations of CKD can be attributed to diabetes (as opposed to demographics and BP) among adults with diabetes. We further aimed to determine the extent to which diabetes contributes to the overall prevalence of CKD in the general United States population.

## Materials and Methods

### Study Population

The National Health and Nutrition Examination Survey (NHANES) is a series of cross-sectional studies

\*Kidney Research Institute, Division of Nephrology, and \*\*Division of Metabolism, Endocrinology, and Nutrition, Department of Medicine, Departments of <sup>†</sup>Epidemiology and <sup>‡</sup>Biostatistics, and <sup>||</sup>Institute of Translational Health Sciences, University of Washington, Seattle, WA; <sup>§</sup>Providence Health Care, Spokane, WA; and <sup>¶</sup>Department of Medicine, Group Health Cooperative, Seattle, WA

### Correspondence:

Dr. Leila R. Zelnick, Division of Nephrology, Department of Medicine, University of Washington, Box 359606, 325 9th Avenue, Seattle, WA 98104. Email: [lzelnick@nephrology.washington.edu](mailto:lzelnick@nephrology.washington.edu)

designed to assess the health and nutritional status of adults and children in the United States, and it combines both physical examinations and interviews consisting of demographic, socioeconomic, dietary, and health-related questions (9). It uses a probability sampling design, intentionally oversampling participants of black race, Hispanic ethnicity, or both.

For this study, we used data from three NHANES cycles: 2009–2010, 2011–2012, and 2013–2014; data collection details have been published elsewhere (9). We included all participants ages 20 years old or older who underwent a health examination in the NHANES mobile examination center and had available data for medication use, hemoglobin A<sub>1c</sub>, serum creatinine concentration, and urine albumin and creatinine concentrations. Of 17,547 participants in the NHANES 2009–2014 ages 20 years old or older, we excluded 581 participants who did not attend a mobile examination center visit and 1291 participants with missing data (hemoglobin A<sub>1c</sub>,  $n=802$ ; serum creatinine,  $n=1066$ ; urine albumin and creatinine,  $n=370$ ; prescription medication,  $n=1$ ; some participants were missing multiple measurements), resulting in a final analytic sample of 15,675 (Supplemental Figure 1). Participants who were included (versus excluded) were more likely to be men and white, tended to use antihypertensive medications and angiotensin receptor blockers less often, and had slightly lower systolic BP (Supplemental Table 1). Because this study is not considered human subjects research by the University of Washington Institutional Review Board, no human subjects approval was obtained.

### Diabetes Definition

For the purposes of this study, diabetes mellitus was defined as the use of glucose-lowering medications (insulin or other hypoglycemic medications), a hemoglobin A<sub>1c</sub>  $\geq 6.5\%$ , or both (2,3). Hemoglobin A<sub>1c</sub> was measured using HPLC (coefficients of variation  $<2.0\%$ ) (9), and it was calibrated using a previously developed equation (10).

### Measurement of CKD

We examined several clinical manifestations of kidney disease. Microalbuminuria was defined as a urine albumin-to-creatinine ratio (ACR) of  $\geq 30$  mg/g, and macroalbuminuria was defined as a urine ACR of  $\geq 300$  mg/g. Urine albumin concentration was ascertained from a singly voided urine sample *via* a solid-phase fluorescent immunoassay, whereas creatinine concentration was measured with a Jaffe rate reaction. Serum creatinine concentrations were measured using the kinetic Jaffe rate reaction method. eGFR was then derived using the creatinine-based Chronic Kidney Disease Epidemiology Collaboration equation (11). Reduced eGFR was defined as eGFR  $<60$  ml/min per 1.73 m<sup>2</sup>, and severely reduced eGFR was defined as eGFR  $<30$  ml/min per 1.73 m<sup>2</sup>. CKD was defined as ACR  $\geq 30$  mg/g, eGFR  $<60$  ml/min per 1.73 m<sup>2</sup>, or both.

To account for the biologic variability inherent in urine ACR and eGFR, we estimated the persistence of albuminuria and reduced eGFR among participants with repeated urine or serum measurements. During the NHANES 2009–2010, participants who provided a urine sample at the time of their Mobile Examination Center visit were asked to collect a

second urine sample from a first morning void at home; repeat urine samples were collected by 4268 participants without diabetes and 727 participants with diabetes within 10 days of their Mobile Examination Center visit. During the NHANES III, a subset of 2483 participants without diabetes and 304 participants with diabetes returned for a second blood draw approximately 2 weeks after their Mobile Examination Center visit. We estimated persistence separately in those with and without diabetes, because we anticipated that persistence may differ according to the range of observed urine ACR and eGFR values for each subpopulation. In all cases, persistence was defined as the proportion of participants with abnormal values (albuminuria or reduced eGFR) in the initial collection whose values were also abnormal on repeat testing. Estimates of persistence were incorporated into prevalence estimates as described in the section on the statistical methods.

### Other Clinical Characteristics

Age, sex, race/ethnicity, and duration of diabetes were assessed by a questionnaire administered by trained interviewers. Participants of all race/ethnicities were included in analysis, but estimates are presented only for those of non-Hispanic white, non-Hispanic black, and Mexican-American race/ethnicities. Type 1 diabetes was defined (for descriptive purposes) as a diagnosis before 30 years of age with use of insulin within 2 years of diabetes diagnosis and current insulin use. For each participant, systolic and diastolic BPs were reported as the mean of three consecutive seated BP readings. Prescription drug use information was determined by personal interview and reflects usage during a 1-month period before the survey date. The duration of hypertension was defined to be the difference between the participant's age at examination and age at which the participant reported being told of hypertension, whereas a history of hypertension was defined as having ever been told that the participant had high BP. The duration of diabetes was defined to be the difference between a participant's age at examination and age when the participant reported being diagnosed with diabetes. Participants with undiagnosed diabetes at the time of examination were assigned a diabetes duration of 0 years.

### Statistical Analyses

All analyses were performed using Stata, version 11.0 (StataCorp) and R, version 3.3.0 (R Foundation for Statistical Computing) (12) and incorporated recommended NHANES weights to account for nonresponse bias and the sampling design (9). Stata svy commands were used to estimate the prevalence of CKD and other clinical characteristics by diabetes strata.

Complete information on age, sex, race/ethnicity, prescription medication usage, self-identified hypertension ascertained by questionnaire, diabetes status, and all CKD outcomes was available for the final analytic sample. Small numbers of participants were missing data of systolic and diastolic BPs ( $n=569$ ). In models involving these covariates, use of the recommended NHANES sampling weights ensured that estimates still reflected the broader intended population.

We used a bootstrap approach with 500 replicates to account for the variability in the estimate of the probability

of persistence. In each bootstrap sample, the prevalence of persistent albuminuria among those with or without diabetes was estimated by multiplying the prevalence of elevated urine ACR by the bootstrap probability of persistence in that group. A final estimate of persistent albuminuria prevalence and 95% confidence intervals (95% CIs) were obtained by calculating the mean and 2.5th/97.5th percentiles across bootstrap samples. A similar process was followed to estimate the prevalence of persistently reduced GFR.

To estimate the prevalence of persistent CKD, the prevalence of persistence in each subtype was calculated (albuminuria only, low eGFR only, or both albuminuria and low eGFR), and a weighted average across these clinical phenotypes was calculated. For participants with albuminuria only or impaired eGFR only, the respective estimates of persistence were calculated in each bootstrap sample as described above. For participants with both albuminuria and low eGFR, the probability of persistence was calculated as one minus the probability of having neither persistent albuminuria nor persistently low GFR. For each outcome, the probability of persistence was calculated separately for those with and without diabetes (Supplemental Table 2).

We used binomial and linear regression to estimate adjusted prevalence ratios and adjusted differences, respectively, between those with and without diabetes. A first adjusted model was adjusted for age (including both linear and quadratic terms), sex, and race/ethnicity; a subsequent model additionally adjusted for measures of BP control: systolic BP (continuous variable), current use of antihypertensive medications, current use of renin-angiotensin system (RAS) inhibitors (angiotensin-converting enzyme inhibitors or angiotensin II receptor blockers), a history of hypertension (yes/no), and years since diagnosis of hypertension. For each outcome, we incorporated a bootstrap estimate of persistence, which accounted for the uncertainty in the estimate of the probability of persistence. The attributable risk percentage associated with the presence of diabetes for each outcome was calculated as  $100 \times (PR - 1) / PR$ , where PR was the prevalence ratio observed for that outcome (13). Five hundred bootstrap samples were used to obtain 95% CIs for each measure.

The population attributable risk percentage was calculated as 100 times the prevalence of the outcome attributable to diabetes divided by the prevalence of the outcome among all United States adults. The prevalence of the outcome attributable to diabetes was defined as the difference between the prevalence among all United States adults and the prevalence among United States adults without diabetes; we also calculated these quantities using standardization to account for age, sex, and race/ethnicity. All prevalence estimates incorporated estimates of the persistence of the outcome as described above.

In subgroup analyses, we calculated age, sex, and race/ethnicity strata-specific estimates of prevalence; adjusted prevalence ratios; and adjusted differences through the use of interaction terms; we estimated stratum-specific population attributable risk through stratified analyses.

## Results

A total of 15,675 adults participating in the NHANES from 2009 to 2014 were included in this analysis: 2279 with

diabetes and 13,396 without diabetes. Compared with participants without diabetes, those with diabetes were older, more likely to be black or Mexican American, more likely to have a history of hypertension, and more likely to use antihypertensive medications in general and RAS inhibitors in particular (Table 1). Mean systolic BP was substantially higher among participants with versus without diabetes, and mean diastolic BP was only slightly lower. Among participants with diabetes, a diagnosis of diabetes was known by 71% of participants, 4.5% were considered to have type 1 diabetes, median duration of diagnosed diabetes was 5.0 years (including participants with undiagnosed diabetes as 0 years since diagnosis), 77% were using glucose-lowering medications, and mean hemoglobin A<sub>1c</sub> was 7.4%.

Persistence of albuminuria was evaluated among 4995 participants, and persistence of reduced eGFR was evaluated among 2787 participants (Supplemental Table 2). Urine ACR was systematically lower on repeat testing compared with initial testing (Supplemental Figure 2). Estimates for persistence ranged from 42% (for urine ACR  $\geq 30$  mg/g among participants without diabetes) to 100% (for eGFR  $< 30$  ml/min per 1.73 m<sup>2</sup>) and were higher for participants with versus without diabetes (except for eGFR  $< 30$  ml/min per 1.73 m<sup>2</sup>).

For participants with versus without diabetes, the estimated prevalence of urine ACR  $\geq 30$  mg/g was 16% versus 3.0%, respectively; the estimated prevalence of urine ACR  $\geq 300$  mg/g was 4.6% versus 0.3%, respectively; the estimated prevalence of eGFR  $< 60$  ml/min per 1.73 m<sup>2</sup> was 12% versus 2.5%, respectively; and the estimated prevalence of eGFR  $< 30$  ml/min per 1.73 m<sup>2</sup> was 2.4% versus 0.4%, respectively (Table 2). The estimated prevalence of any CKD (urine ACR  $\geq 30$  mg/g, eGFR  $< 60$  ml/min per 1.73 m<sup>2</sup>, or both) was 25% versus 5.3%, respectively. The prevalence of each CKD manifestation was progressively greater with a longer duration of diabetes (Figure 1). Prevalence ratios comparing participants with versus without diabetes were significantly greater than one for all five CKD outcomes.

The estimated difference in prevalence of any CKD comparing participants with versus without diabetes was 14.9% (95% CI, 12.3% to 17.6%) adjusting for demographic variables. This difference was 14.6% (95% CI, 11.3% to 17.8%) after further adjustment for components of BP. The estimated differences in prevalence between those with and without diabetes were 11.2% (95% CI, 9.4% to 13.0%) for urine ACR  $\geq 30$  mg/g, 3.9% (95% CI, 3.1% to 4.7%) for urine ACR  $\geq 300$  mg/g, 6.0% (95% CI, 3.1% to 9.0%) for eGFR  $< 60$  ml/min per 1.73 m<sup>2</sup>, and 1.3% (95% CI, 0.3% to 2.4%) for eGFR  $< 30$  ml/min per 1.73 m<sup>2</sup> when adjusted for demographics only. In fully adjusted models, these differences in prevalence were 10.8%, 4.5%, 6.5%, and 1.8%, respectively.

Among all United States adults (with and without diabetes), the prevalence of any CKD was 7.3%, the prevalence of urine ACR  $\geq 30$  mg/g was 4.4%, the prevalence of urine ACR  $\geq 300$  mg/g was 0.8%, the prevalence of eGFR  $< 60$  ml/min per 1.73 m<sup>2</sup> was 3.5%, and the prevalence of eGFR  $< 30$  ml/min per 1.73 m<sup>2</sup> was 0.6% (Table 3). The prevalence values of these clinical CKD manifestations attributable to diabetes were 2.1%, 1.3%, 0.5%, 1.0%, and

**Table 1. Characteristics of adults with and without diabetes in the United States from 2009 to 2014**

Characteristic	No Diabetes Mellitus, <i>n</i> =13,396		Diabetes Mellitus, <i>n</i> =2279	
	<i>N</i>	Weighted Proportion (95% CI) or Mean (95% CI)	<i>N</i>	Weighted Proportion (95% CI) or Mean (95% CI)
Proportion of United States population		89.2 (88.6 to 89.9)		10.8 (10.1 to 11.4)
<b>Demographic variables</b>				
Age, yr		46 (45 to 47)		59 (58 to 60)
Women, %	6951	52 (51 to 53)	1093	48 (45 to 51)
Race/ethnicity, %				
White, non-Hispanic	6044	68 (64 to 73)	780	60 (55 to 64)
Black, non-Hispanic	2601	10 (8 to 12)	599	15 (12 to 19)
Mexican American	1839	8 (6 to 11)	398	10 (7 to 14)
<b>Medical history</b>				
History of hypertension, %	4036	28 (26 to 29)	1539	66 (64 to 69)
Duration of hypertension, yr		10.2 (9.7 to 10.6)		13.7 (12.8 to 14.5)
Duration of diabetes, yr <sup>a</sup>		0 (0 to 0)		5 (0 to 13)
<b>Medication use</b>				
Antihypertensive medications, %	3288	23 (21 to 24)	1626	70 (68 to 73)
RAS inhibitors, %	1983	14 (13 to 15)	1293	56 (53 to 59)
ACE inhibitors	1269	9 (8 to 10)	859	37 (34 to 39)
Angiotensin receptor blockers	661	4.4 (3.7 to 5.1)	440	20 (17 to 22)
<b>BP, mm Hg</b>				
Systolic BP		120.3 (119.7 to 120.8)		129.7 (128.5 to 130.9)
Diastolic BP		70.4 (69.7 to 71.0)		68.9 (67.9 to 69.9)

Diabetes is defined to be use of antidiabetic medications or a hemoglobin A<sub>1c</sub> ≥6.5%. Cell contents are raw numbers of participants or weighted proportions or means (95% CI) of United States adults with or without diabetes mellitus with the indicated characteristic, as appropriate, except for row 3, which presents weighted proportions (95% CI) of all United States adults with and without diabetes mellitus. 95% CI, 95% confidence interval; RAS, renin-angiotensin system; ACE, angiotensin-converting enzyme.

<sup>a</sup>Median (interquartile range) duration of diabetes for United States adults with or without diabetes mellitus.

0.2%, respectively, or 28%, 31%, 58%, 29%, and 38% of the total population prevalence, respectively. When standardized to account for age, sex, and race/ethnicity, 24%, 28%, 54%, 21%, and 30%, respectively, of the total population prevalence were attributable to diabetes.

In subgroup analyses we examined estimates of prevalence, adjusted prevalence ratios, adjusted differences, and population-attributable risk across strata of age, sex, and race/ethnicity. For all CKD clinical manifestations, older adults had higher prevalences and adjusted differences compared with younger adults (Supplemental Table 3). In general, population-attributable risk differed most strikingly across strata of sex and race/ethnicity, and was sometimes substantially greater for males compared with females, and for blacks and Mexican Americans compared with whites (Supplemental Table 4).

## Discussion

In 2009–2014, adults with diabetes in the United States had a substantially higher prevalence of CKD and each of its individual components compared with adults without diabetes. The prevalence of CKD and its components was progressively higher with longer diabetes duration. The excess risks of CKD, albuminuria, and reduced eGFR associated with diabetes were diminished somewhat with

adjustment for demographics and several aspects of BP, but attenuation was modest, and the presence of diabetes remained associated with large differences in the prevalence of each CKD manifestation after adjustment. Specifically, diabetes was associated with a difference in absolute prevalence of any CKD of 14.6% independent of demographics and hypertension. Manifestations with the largest excess absolute risks were albuminuria (10.8%) and eGFR <60 ml/min per 1.73 m<sup>2</sup> (6.5%). Among people with diabetes, 51% of manifest CKD could be independently attributed to diabetes, with the highest attributable proportions for albuminuria (62%), macroalbuminuria (79%), and eGFR <30 ml/min per 1.73 m<sup>2</sup> (59%). Examining the overall population of United States adults, we estimated that 24% of all CKD could be attributed to diabetes independent of demographics.

These results suggest that, for persons with diabetes, albuminuria and reduced eGFR are not usually attributable simply to aging and hypertension, particularly when diabetes has been present for a long time. The excess risks of CKD attributable to diabetes could be due to direct causal effects of diabetes (*e.g.*, hyperglycemia-mediated diabetic glomerulopathy), other causal mechanisms related to diabetes (*e.g.*, metabolic derangements or vascular damage), treatments specific to diabetes or used more commonly among people with diabetes, or confounding by other characteristics more common in diabetes (*e.g.*, obesity,

**Table 2. Associations of diabetes mellitus with CKD among adults in the United States**

Parameter	Albuminuria ACR $\geq$ 30 mg/g	Macroalbuminuria ACR $\geq$ 300 mg/g	eGFR $<$ 60 ml/min per 1.73 m <sup>2</sup>	eGFR $<$ 30 ml/min per 1.73 m <sup>2</sup>	Any CKD
<b>N with abnormality</b>					
No diabetes	1159	136	847	83	1774
Diabetes	690	177	482	65	943
<b>Prevalence (95% CI), %</b>					
No diabetes	3.0 (2.6 to 3.5)	0.3 (0.2 to 0.5)	2.5 (2.0 to 3.0)	0.4 (0.3 to 0.6)	5.3 (4.6 to 5.9)
Diabetes	16 (13 to 18)	4.6 (3.4 to 5.8)	12 (9 to 15)	2.4 (1.4 to 3.4)	25 (21 to 28)
<b>Prevalence ratio (95% CI)</b>					
Model 1	3.84 (3.21 to 4.59)	8.70 (5.78 to 13.10)	2.27 (1.65 to 3.10)	2.63 (1.41 to 4.89)	2.67 (2.26 to 3.15)
Model 2	2.66 (2.18 to 3.24)	4.85 (2.99 to 7.84)	1.91 (1.40 to 2.59)	2.45 (1.20 to 5.02)	2.05 (1.74 to 2.42)
<b>Difference in prevalence (95% CI), %</b>					
Model 1	11.2 (9.4 to 13.0)	3.9 (3.1 to 4.7)	6.0 (3.1 to 9.0)	1.3 (0.3 to 2.4)	14.9 (12.3 to 17.6)
Model 2	10.8 (8.7 to 12.9)	4.5 (3.5 to 5.5)	6.5 (2.8 to 10.3)	1.8 (0.2 to 3.4)	14.6 (11.3 to 17.8)
<b>Attributable risk (95% CI), %</b>					
Model 1	74 (69 to 79)	88 (84 to 93)	55 (41 to 47)	62 (39 to 85)	62 (56 to 69)
Model 2	62 (55 to 70)	79 (69 to 88)	47 (30 to 64)	59 (31 to 88)	51 (43 to 59)

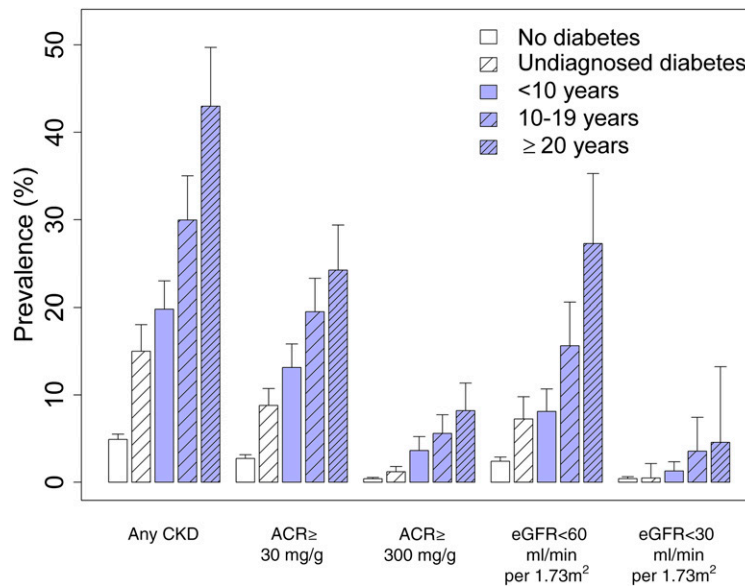
Cell contents are raw numbers of participants, weighted proportions (95% CI) of United States adults with and without diabetes who have the indicated clinical manifestation, adjusted prevalence ratios, adjusted differences in prevalence, and risks of CKD attributable to diabetes. All estimates of prevalence take into account information on the persistence of albuminuria, reduced eGFR, or both. Any CKD was defined as a urine ACR  $\geq$ 30 mg/g or eGFR $<$ 60 ml/min per 1.73 m<sup>2</sup>. Model 1 was adjusted for demographics and included adjustment for age, age<sup>2</sup>, sex, and race/ethnicity; model 2 additionally adjusted for use of renin-angiotensin system inhibitors, use of antihypertensive medications, history of hypertension, years of hypertension, and systolic BP. ACR, albumin-to-creatinine ratio; 95% CI, 95% confidence interval.

smoking, and genetic predisposition). It is not possible to discriminate these possibilities using survey data, although adjustment for RAS inhibitors does help to account for differences in use of this important class of medications. Nonetheless, kidney biopsy series of people with type 2 diabetes and albuminuria have shown proportions with typical lesions of diabetic glomerulopathy that are similar to or higher than the attributable risk proportions that we observed in our study (14–17). Again, similar to results from our study, typical lesions of diabetic glomerulopathy were observed in three of eight Australian patients with type 2 diabetes, normal urine albumin excretion, and reduced eGFR (18). Although differences in study populations and methods preclude definitive comparisons, these results suggest that much of the attributable risk that we observed in survey data may be due to direct effects of diabetes on the kidney. Differences (smaller attributable risk proportions in our study compared with proportions with diabetic glomerulopathy in research series) may be due to the broader range of CKD in our study (including milder forms) or the presence of normal urine albumin excretion and eGFR among participants with histologic diabetic glomerulopathy (which has been documented in autopsy series and research biopsies) (19–21). Our data lack specific histologic determination of CKD cause but are complementary to biopsy series, because they are representative of the patients seen in contemporary diabetes care.

We estimated that 24% of all CKD in the United States could be attributed to diabetes accounting for demographic characteristics, including 28% of urine ACR  $\geq$ 300 mg/g and 21% of eGFR $<$ 60 ml/min per 1.73 m<sup>2</sup>. These figures are estimates of the proportion of CKD in the United States

population that might be alleviated if CKD attributable to diabetes was abolished (but some people with diabetes still developed CKD due to aging or other factors common to the general population). To the extent that the association of diabetes with CKD is not causal, these figures may overestimate population attributable risk. However, larger population proportions may have been attributable to diabetes if we used additional criteria to define a broader group of people with diabetes (*i.e.*, fasting glucose or oral glucose tolerance test data) (22).

Among people with diabetes and CKD in our study, a substantial minority had CKD that was not attributable to diabetes, and 53% of eGFR $<$ 60 ml/min per 1.73 m<sup>2</sup> was not attributable to diabetes when accounting for demographics and BP. Moreover, the underlying causes of the various CKD manifestations evaluated in this study are likely heterogeneous. Most current treatment recommendations for patients with diabetes and CKD (*i.e.*, lifestyle modification, appropriate glycemic control, lipid-lowering therapy, and BP control for the prevention of cardiovascular diseases and progression of CKD) are appropriate, regardless of the specific cause of CKD (7,8). However, the risks and benefits of these interventions may differ according to the underlying mechanism of CKD as may the risks and benefits of interventions shown more recently to improve renal outcomes in diabetes populations (23,24). Moreover, new therapies to prevent and treat diabetic CKD are on the basis of specific mechanisms of kidney injury (25,26). Clinical trials of these new therapies will be most effective when they are targeted to populations likely to respond to the intervention under evaluation. The direction of



**Figure 1. | Prevalence of CKD among adults in the United States from 2009 to 2014 is higher with presence and longer duration of diabetes.** Any CKD was defined as a urine albumin-to-creatinine ratio (ACR)  $\geq 30$  mg/g or eGFR  $< 60$  ml/min per  $1.73\text{ m}^2$ . All prevalence values are unadjusted values that incorporate estimates of persistence. Error bars represent the upper bound of 95% confidence intervals.

“precision medicine” to evaluate human kidney tissue and validate prognostic, predictive, and actionable biomarkers is an important step toward differentiation of clinically relevant CKD subtypes.

Strengths of our study include the evaluation of a large, contemporary sample of people with and without diabetes that is representative of the United States population; the incorporation of data on repeat measurements to estimate persistent CKD; and the evaluation of absolute prevalence, differences in prevalence, and attributable risks to quantify the public health effect of diabetes and CKD. Limitations include the lack of kidney tissue to definitively identify causes of CKD, potential incomplete adjustment for BP duration and severity, the inability to determine in detail which specific diabetes-related factors and nondiabetes-related factors were

associated with CKD, and the possibility that some risk attributable to diabetes is actually due to residual confounding by other factors that may cause both diabetes and CKD. With regard to BP, we were able to include a number of variables addressing duration and severity, and the inclusion of these variables could be considered overadjustment, because diabetes may contribute to CKD in part through hypertension. Because this study was cross-sectional, prevalence estimates reflect a combination of CKD incidence and duration. Our data do not inform which patients with diabetes and CKD should be evaluated for other causes of kidney damage (for example, by referral to a nephrologist or kidney biopsy).

In conclusion, diabetes is strongly associated with both albuminuria and reduced eGFR independent of age, sex,

**Table 3. Extent to which CKD can be attributed to diabetes among adults in the United States**

Parameter, %	Albuminuria ACR $\geq 30$ mg/g	Macroalbuminuria ACR $\geq 300$ mg/g	eGFR $< 60$ ml/min per $1.73\text{ m}^2$	eGFR $< 30$ ml/min per $1.73\text{ m}^2$	Any CKD
Prevalence among all United States adults	4.4 (3.9 to 4.9)	0.8 (0.6 to 1.0)	3.5 (2.9 to 4.0)	0.6 (0.5 to 0.7)	7.3 (6.6 to 8.0)
Prevalence among United States adults without diabetes	3.1 (2.7 to 3.6)	0.4 (0.2 to 0.5)	2.7 (2.2 to 3.3)	0.4 (0.3 to 0.5)	5.6 (4.9 to 6.2)
Prevalence attributable to diabetes	1.2 (1.0 to 1.5)	0.4 (0.3 to 0.6)	0.7 (0.4 to 1.1)	0.2 (0.1 to 0.3)	1.7 (1.4 to 2.1)
Proportion attributable to diabetes	28 (22 to 34)	54 (43 to 67)	21 (12 to 31)	30 (19 to 41)	24 (19 to 29)

Estimates and 95% confidence intervals are on the basis of 500 bootstrap samples and incorporate bootstrap estimates of persistence. Estimates for prevalence among United States adults without diabetes are standardized for age, sex, and race/ethnicity to United States population. Prevalence attributable to diabetes is defined as the difference in prevalence among all United States adults and United States adults without diabetes; proportion attributable to diabetes is defined as 100 times the ratio of the prevalence attributable to diabetes to the prevalence among all United States adults. ACR, albumin-to-creatinine ratio.

race, ethnicity, and BP. Our data suggest that a large portion of CKD in the United States is attributable to diabetes. To reduce the public health burden of CKD, what is needed is an enhanced understanding of the molecular pathways through which diabetes causes CKD, new methods of identifying these pathways, and the development and evaluation of new interventions to prevent and treat CKD in persons with diabetes.

#### Acknowledgments

This work was supported by an unrestricted fund from the Northwest Kidney Centers, grant R01DK088762 from the National Institute of Diabetes and Digestive and Kidney Diseases, and grant 4-15-CKD-20 from the American Diabetes Association.

Because I.H.d.B. is a Deputy Editor of the Clinical Journal of the American Society of Nephrology, he was not involved in the peer review process for this manuscript. Another editor oversaw the peer review and decision-making process for this manuscript. Rajnish Mehrotra, the Editor-in-Chief, is at the same institution as some of the authors, including the Deputy Editor, and therefore, was also not involved in the peer review process for this manuscript.

#### Disclosures

B.R.K. has received honoraria from Sanofi Inc. K.T. has received consulting fees from Eli Lilly and Company, Boehringer-Ingelheim, Gilead, and Astra Zeneca for therapeutics related to diabetic kidney disease. I.B.H. has received consulting fees from Abbott Diabetes Care, Adocia, Roche, BigFoot, and Intarcia. I.H.d.B. receives grant funding from the American Diabetes Association, the National Institute of Diabetes and Digestive and Kidney Diseases, and the National Heart, Lung, and Blood Institute; consulting fees from Ironwood Pharmaceuticals and Boehringer-Ingelheim; and equipment and supplies for research from MedTronic and Abbott.

#### References

- Kimmelstiel P, Wilson C: Inter-capillary lesions in the glomeruli of the kidney. *Am J Pathol* 12: 83–105, 1936
- de Boer IH, Rue TC, Hall YN, Heagerty PJ, Weiss NS, Himmelfarb J: Temporal trends in the prevalence of diabetic kidney disease in the United States. *JAMA* 305: 2532–2539, 2011
- Afkarian M, Zelnick LR, Hall YN, Heagerty PJ, Tuttle K, Weiss NS, de Boer IH: Clinical manifestations of kidney disease among US adults with diabetes, 1988–2014. *JAMA* 316: 602–610, 2016
- United States Renal Data System: *2016 USRDS Annual Data Report: Epidemiology of Kidney Disease in the United States*, Bethesda, MD, National Institutes of Health, National Institute of Diabetes and Digestive and Kidney Diseases, 2016
- Fox CS, Matsushita K, Woodward M, Bilo HJ, Chalmers J, Heerspink HJ, Lee BJ, Perkins RM, Rossing P, Sairenchi T, Tonelli M, Vassalotti JA, Yamagishi K, Coresh J, de Jong PE, Wen CP, Nelson RG; Chronic Kidney Disease Prognosis Consortium: Associations of kidney disease measures with mortality and end-stage renal disease in individuals with and without diabetes: A meta-analysis. *Lancet* 380: 1662–1673, 2012
- Afkarian M, Sachs MC, Kestenbaum B, Hirsch IB, Tuttle KR, Himmelfarb J, de Boer IH: Kidney disease and increased mortality risk in type 2 diabetes. *J Am Soc Nephrol* 24: 302–308, 2013
- Tuttle KR, Bakris GL, Bilous RW, Chiang JL, de Boer IH, Goldstein-Fuchs J, Hirsch IB, Kalantar-Zadeh K, Narva AS, Navaneethan SD, Neumiller JJ, Patel UD, Ratner RE, Whaley-Connell AT, Molitch ME: Diabetic kidney disease: A report from an ADA Consensus Conference. *Diabetes Care* 37: 2864–2883, 2014
- American Diabetes Association: 10. Microvascular complications and foot care. *Diabetes Care* 40[Suppl 1]: S88–S98, 2017
- US Centers for Disease Control and Prevention, National Center for Health Statistics: National Health and Nutrition Examination Survey 2009–2010, 2011–2012, 2013–2014 Documentation Files. Available at: <http://cdc.gov/nchs/nhanes.htm>. Accessed October 5, 2016
- Selvin E, Coresh J, Zhu H, Folsom A, Steffes MW: Measurement of HbA1c from stored whole blood samples in the Atherosclerosis Risk in Communities study. *J Diabetes* 2: 118–124, 2010
- Levey AS, Stevens LA, Schmid CH, Zhang YL, Castro AF 3rd, Feldman HI, Kusek JW, Eggers P, Van Lente F, Greene T, Coresh J; CKD-EPI (Chronic Kidney Disease Epidemiology Collaboration): A new equation to estimate glomerular filtration rate. *Ann Intern Med* 150: 604–612, 2009
- R Foundation for Statistical Computing: *A Language and Environment for Statistical Computing*, Vienna, Austria, R Foundation for Statistical Computing, 2014
- Koepsell TD, Weiss NS: *Epidemiologic Methods: Studying the Occurrence of Illness*, Oxford, United Kingdom, Oxford University Press, 2003
- Fioretto P, Mauer M, Brocco E, Velussi M, Frigato F, Muollo B, Sambataro M, Abaterusso C, Baggio B, Crepaldi G, Nosadini R: Patterns of renal injury in NIDDM patients with micro-albuminuria. *Diabetologia* 39: 1569–1576, 1996
- Parving HH, Gall MA, Skøtt P, Jørgensen HE, Løkkegaard H, Jørgensen F, Nielsen B, Larsen S: Prevalence and causes of albuminuria in non-insulin-dependent diabetic patients. *Kidney Int* 41: 758–762, 1992
- Gambara V, Mecca G, Remuzzi G, Bertani T: Heterogeneous nature of renal lesions in type II diabetes. *J Am Soc Nephrol* 3: 1458–1466, 1993
- Richards NT, Greaves I, Lee SJ, Howie AJ, Adu D, Michael J: Increased prevalence of renal biopsy findings other than diabetic glomerulopathy in type II diabetes mellitus. *Nephrol Dial Transplant* 7: 397–399, 1992
- Ekinci EI, Jerums G, Skene A, Crammer P, Power D, Cheong KY, Panagiotopoulos S, McNeil K, Baker ST, Fioretto P, Macisaac RJ: Renal structure in normoalbuminuric and albuminuric patients with type 2 diabetes and impaired renal function. *Diabetes Care* 36: 3620–3626, 2013
- Mauer SM, Steffes MW, Ellis EN, Sutherland DE, Brown DM, Goetz FC: Structural-functional relationships in diabetic nephropathy. *J Clin Invest* 74: 1143–1155, 1984
- Caramori ML, Fioretto P, Mauer M: Low glomerular filtration rate in normoalbuminuric type 1 diabetic patients: An indicator of more advanced glomerular lesions. *Diabetes* 52: 1036–1040, 2003
- Klessens CQ, Woutman TD, Veraar KA, Zandbergen M, Valk EJ, Rotmans JI, Wolterbeek R, Bruijn JA, Bajema IM: An autopsy study suggests that diabetic nephropathy is underdiagnosed. *Kidney Int* 90: 149–156, 2016
- Cowie CC, Rust KF, Byrd-Holt DD, Eberhardt MS, Flegal KM, Engelgau MM, Saydah SH, Williams DE, Geiss LS, Gregg EW: Prevalence of diabetes and impaired fasting glucose in adults in the U.S. population: National Health and Nutrition Examination Survey 1999–2002. *Diabetes Care* 29: 1263–1268, 2006
- Wanner C, Inzucchi SE, Lachin JM, Fitchett D, von Eynatten M, Matthews M, Johansen OE, Woerle HJ, Broedl UC, Zinman B; EMPA-REG OUTCOME Investigators: Empagliflozin and progression of kidney disease in type 2 diabetes. *N Engl J Med* 375: 323–334, 2016
- Marso SP, Daniels GH, Brown-Frandsen K, Kristensen P, Mann JF, Nauck MA, Nissen SE, Pocock S, Poulter NR, Ravn LS, Steinberg WM, Stockner M, Zinman B, Bergenstal RM, Buse JB; LEADER Steering Committee; LEADER Trial Investigators: Liraglutide and cardiovascular outcomes in type 2 diabetes. *N Engl J Med* 375: 311–322, 2016
- Dieter BP, Alicic RZ, Meek RL, Anderberg RJ, Cooney SK, Tuttle KR: Novel therapies for diabetic kidney disease: Storied past and forward paths. *Diabetes Spectr* 28: 167–174, 2015
- Breyer MD, Susztak K: The next generation of therapeutics for chronic kidney disease. *Nat Rev Drug Discov* 15: 568–588, 2016

Received: April 5, 2017 Accepted: August 29, 2017

Published online ahead of print. Publication date available at [www.cjasn.org](http://www.cjasn.org).

See related editorial, “Burden of Proof—When Is Kidney Disease Attributable to Diabetes?,” on pages 1917–1918.

This article contains supplemental material online at <http://cjasn.asnjournals.org/lookup/suppl/doi:10.2215/CJN.03700417/-/DCSupplemental>.